



Notice of meeting of

Local Development Framework Working Group

To: Councillors Steve Galloway (Chair), Potter (Vice-Chair),

Ayre, D'Agorne, Merrett, Moore, Reid, Simpson-Laing,

R Watson and Watt

Date: Monday, 9 March 2009

Time: 4.30 pm

Venue: The Guildhall, York

AGENDA

1. Declarations of Interest

At this point, members are asked to declare any personal or prejudicial interests they may have in the business on this agenda.

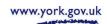
2. Public Participation

At this point in the meeting, members of the public who have registered their wish to speak, regarding an item on the agenda or an issue within the remit of the Working Group, may do so. The deadline for registering is 5.00 pm on Friday 6 March 2009.

3. Consultation Draft Strategic Housing Land Availability Assessment (SHLAA) Phase 2 – Evidence Base (Pages 3 - 98)

This report advises Members of the preparation of the Consultation Draft Strategic Housing Land Availability Assessment (SHLAA) Phase 2 produced as a key part of the evidence base to support our Local Development Framework. The study builds on the stage 1 SHLAA reported to Members in 2008.





4. Any other business which the Chair considers urgent under the Local Government Act 1972.

Democracy Officers:

Name: Catherine Clarke and Heather Anderson (job share) Contact Details:

- Telephone (01904) 551031
- E-mail <u>catherine.clarke@york.gov.uk</u> and <u>heather.anderson@york.gov.uk</u>
 (If contacting us by e-mail, please send to both democracy officers named above)

For more information about any of the following please contact the Democracy Officer responsible for servicing this meeting:

- Registering to speak
- · Business of the meeting
- Any special arrangements
- Copies of reports

Contact details are set out above.

About City of York Council Meetings

Would you like to speak at this meeting?

If you would, you will need to:

- register by contacting the Democracy Officer (whose name and contact details can be found on the agenda for the meeting) no later than 5.00 pm on the last working day before the meeting;
- ensure that what you want to say speak relates to an item of business on the agenda or an issue which the committee has power to consider (speak to the Democracy Officer for advice on this);
- find out about the rules for public speaking from the Democracy Officer.

A leaflet on public participation is available on the Council's website or from Democratic Services by telephoning York (01904) 551088

Further information about what's being discussed at this meeting

All the reports which Members will be considering are available for viewing online on the Council's website. Alternatively, copies of individual reports or the full agenda are available from Democratic Services. Contact the Democracy Officer whose name and contact details are given on the agenda for the meeting. Please note a small charge may be made for full copies of the agenda requested to cover administration costs.

Access Arrangements

We will make every effort to make the meeting accessible to you. The meeting will usually be held in a wheelchair accessible venue with an induction hearing loop. We can provide the agenda or reports in large print, electronically (computer disk or by email), in Braille or on audio tape. Some formats will take longer than others so please give as much notice as possible (at least 48 hours for Braille or audio tape).

If you have any further access requirements such as parking close-by or a sign language interpreter then please let us know. Contact the Democracy Officer whose name and contact details are given on the order of business for the meeting.

Every effort will also be made to make information available in another language, either by providing translated information or an interpreter providing sufficient advance notice is given. Telephone York (01904) 551550 for this service.

যদি যথেষ্ট আগে থেকে জানানো হয় তাহলে অন্য কোন ভাষাতে তথ্য জানানোর জন্য সব ধরণের চেষ্টা করা হবে, এর জন্য দরকার হলে তথ্য অনুবাদ করে দেয়া হবে অথবা একজন দোভাষী সরবরাহ করা হবে। টেলিফোন নম্বর (01904) 551 550।

Yeteri kadar önceden haber verilmesi koşuluyla, bilgilerin terümesini hazırlatmak ya da bir tercüman bulmak için mümkün olan herşey yapılacaktır. Tel: (01904) 551 550

我們竭力使提供的資訊備有不同語言版本,在有充足時間提前通知的情况下會安排筆譯或口譯服務。電話 (01904) 551 550。

Informacja może być dostępna w tłumaczeniu, jeśli dostaniemy zapotrzebowanie z wystarczającym wyprzedzeniem. Tel: (01904) 551 550

Holding the Executive to Account

The majority of councillors are not appointed to the Executive (38 out of 47). Any 3 non-Executive councillors can 'call-in' an item of business from a published Executive (or Executive Member Advisory Panel (EMAP)) agenda. The Executive will still discuss the 'called in' business on the published date and will set out its views for consideration by a specially convened Scrutiny Management Committee (SMC). That SMC meeting will then make its recommendations to the next scheduled Executive meeting in the following week, where a final decision on the 'called-in' business will be made.

Scrutiny Committees

The purpose of all scrutiny and ad-hoc scrutiny committees appointed by the Council is to:

- Monitor the performance and effectiveness of services;
- Review existing policies and assist in the development of new ones, as necessary; and
- Monitor best value continuous service improvement plans

Who Gets Agenda and Reports for our Meetings?

- Councillors get copies of all agenda and reports for the committees to which they are appointed by the Council;
- Relevant Council Officers get copies of relevant agenda and reports for the committees which they report to;
- Public libraries get copies of **all** public agenda/reports.



Local Development Framework Working Group

9th March 2009

Report of the Director of City Strategy

Consultation Draft Strategic Housing Land Availability Assessment (SHLAA) Phase 2 – Evidence Base

Summary

- 1. This report advises Member's of the preparation of the Consultation Draft Strategic Housing Land Availability Assessment (SHLAA) Phase 2 produced as a key part of the evidence base to support our Local Development Framework. The study builds on the stage 1 SHLAA reported to Members in 2008.
- 2. Members are asked to approve the study, attached as Annex A, to this report for publication as a consultation draft which will form part of the LDF evidence base. The technical appendices to the main report (Appendices 1- 14) are too large to attach to the agenda. Three printed copies have been placed in the Member Library along with two copies in the Guildhall Reception. The appendices are also published on the Council's website with the agenda documents. Printed copies will be made available to Members of the LDWG at individual member request.
- 3. The inclusion of sites within this study should not be taken to imply that the Council would consider planning applications favourably. Although it will inform housing allocations, it will not determine the allocation of land for housing development. Following Members consideration of the SHLAA further work will be undertaken to consider its conclusions in light of our overall planning strategy and strategic approach to housing development. This will include the consideration of the sites in light of the approach to development we are establishing as part of the LDF Core Strategy and other relevant site-specific information.

Background

4. PPS3¹ sets out the requirement for Local Authorities to demonstrate a 5-year deliverable and 6-15 year developable supply of housing. Local Authorities are

¹ Planning Policy Statement 3: Housing (CLG, 2006)

required to demonstrate that they have a sufficient supply of potential sites suitable for residential development to meet the annual housing requirements set out in Regional Spatial Strategies (RSS). The purpose of the SHLAA is to identify sites with housing potential, make an assessment of how many dwellings could come forward and when. This enables a calculation to be made of any shortfall in supply required to meet housing targets so that measures can be taken to allocate additional land.

- 5. The SHLAA will be one of a number of reports that will provide baseline evidence to be used by the Council in the preparation of the Local Development Framework (LDF). The assessment itself does not represent Council policy; it is for the Core Strategy and Allocations DPD to decide which sites are suitable and deliverable and which should come forward for residential development and in what timescale. The inclusion of sites in the SHLAA should not be taken to imply that they will be allocated for development, or that the Council will consider planning applications favourably. The role of the SHLAA is only as a pool of potential housing sites against which other policy considerations will need to be balanced. The SHLAA is to be used to support decision-making and does not pre-judge the strategic approach that the Core Strategy will take. Members are therefore <u>not</u> being asked to approve these sites as housing allocations. The sites to be allocated for housing will be a decision to be taken later as part of the Core Strategy, Allocations DPD and Area Action Plans.
- 6. The Regional Spatial Strategy for Yorkshire and the Humber (RSS) adopted in May 2008 sets out the required levels of growth in terms of future housing provision for York. It indicates that the current RSS housing requirement for York is 640 dwellings per annum from the period 1st April 2004 31st March 2008 and from 1st April 2008 to 31st March 2026, 850 new homes should be built each year. Beyond the end date of the current RSS (2026), an annual rate of 850 homes per year has been projected forward until the end date of the plan (2030). This is to ensure that it can be guaranteed the City can meets its housing need without encroaching on proposed Green Belt Land. The base date for the requirement starts from 1st April 2004 and runs to the 31st March 2030. The overall requirement for York from 1st April 2004 to 31st March 2030 is 21,260 dwellings over a period of 26 years.
- 7. The Yorkshire and Humber Assembly are currently working on an update of the Housing topic in the Regional Spatial Strategy. As a result of the early feedback from the Spatial Options consultation the assembly have decided to do some further work on housing delivery in the form of a short enquiry which will consider written evidence and further oral evidence from some participants.
- 8. The Strategic Housing Land Availability Assessments Practice Guidance² (referred to as the Guidance for the remainder of the report) details the requirements of Local Authorities to:

^{8. &}lt;sup>2</sup> Strategic Housing Land Availability Assessments: Practice Guidance (CLG 2007).

- Identify specific, deliverable sites for the first five years of a plan that are ready for development;
- Identify specific, developable sites for years 6-10 and ideally years 11-15:
- Where it is not possible to identify specific sites for years 15 onwards of the plan, indicate broad locations for future growth; and
- Not include an allowance for windfalls in the first 10 years of the plan.

Further information on windfalls can be found in paragraph 63 of this report.

To be considered **deliverable**, sites should, at the point of adoption of the relevant Local Development Document be:

- > Available the site is available now;
- > Suitable the site offers a suitable location for housing now and;
- ➤ **Achievable** there is a reasonable prospect that housing will be delivered on the site within five years from the date of adoption of the plan.

To be considered **developable**, a site should be in a suitable location for housing development and there should be a reasonable prospect that it will be available for and could be developed at a specific point in time, but may not be achievable within the next five years. A site will be considered **not currently developable** where it is unknown when the site could be developed or because constraints are so severe it is not known when they may be overcome.

Options

8. Members have two options relating to the Strategic Housing Land Availability Assessment (SHLAA):

Option 1: To approve the SHLAA, attached as Annex A, for further consultation with stakeholders and as part of the Local Development Framework evidence base;

Option 2: To seek amendments to the SHLAA through recommendations of the LDF Working Group, or request further work from officers.

Analysis of Options

9. The Study forms an important part of the evidence base for the LDF. It will be part of the evidence used to guide the policy issues regarding housing land provision in the City. Given the significance of this study it is important that it is approved as part of the evidence base to support the progress of the Local Development Framework, both at the Core Strategy Preferred Option stage and also within subsequent detailed policy documents.

- 10. Delaying the approval of the study could delay the Core Strategy and there would be a significant risk that this would delay further stages of the LDF, since the Core Strategy will provide the overall planning policy framework to inform the other Development Plan Documents.
- 11. Members are therefore asked to approve the Draft SHLAA as part of the evidence base for the Local Development Framework. It is important to note that in adopting this report Members are not being asked to endorse any particular sites or a particular policy approach. Instead, we are asking members to agree that the information provided will be used to inform our consideration of potential approaches for the Core Strategy and other LDF documents.

Key Findings from the Study

- 12. The study provides the following information:
 - An assessment of all sources of supply;
 - An estimate of the housing potential of each site;
 - An assessment of when and whether sites are likely to be developed;
 and
 - An analysis of future potential housing supply.

An Assessment of all Sources of Supply

- 12. In line with the CLG guidance the findings of the York SHLAA includes an assessment of the following sources of sites that have potential for housing that are currently in the planning process. The following sources relate to the position at the 1st April 2008:
 - Sites with existing permission @31.3.08
 - > Existing Local Plan Housing Allocations
 - > Sites with existing Development Briefs or emerging AAP
 - Sites allocated (or with planning permission) for employment which are no longer required for that use

In addition the following sources of potential supply were used:

- National Land Use Database
- ➤ Allocations DPD 'Call for Sites' Exercise (March-May 2008)
- Draft Employment Land Review (ELR)
- Desk top mapping survey
- Sites put forward by CYC officers (Property Services and Community services)
- Alternative housing sites suggested at Local Plan Changes 3 & 4
- Sites suggested at the 2006 Housing Inquiry for Germany Beck and Derwenthorpe.
- 13. The Guidance recommends that the SHLAA should identify all settlements where housing could be provided, not just the main urban areas. The Council

has surveyed all sites identified through the SHLAA to help determine the sites that have the potential for future housing and to identify possible constraints to development. The SHLAA includes all sites put forward for residential development through the Call for Sites in the assessment in addition to those identified through other sources. As determined in SHLAA Phase 1 a site threshold of 0.2 hectares has been used. In total 226 sites were assessed as part of the SHLAA. Further details on the sources of sites and the Call for Sites exercise can be found in sections 2, 3 and 4 of the main report (Annex A) from page 11 to 16.

- 14. The assessment includes all sites identified within the City of York boundary and therefore includes brownfield and Greenfield sites including some that are within the current York Green Belt. The SHLAA is intended to inform evidence in the long term and for this reason the Guidance suggests that there would need to be a robust reason to exclude green belt sites from the assessment. The issues that including green belt sites might raise will be resolved through the policy making process for the Core Strategy and Allocations DPD. The green belt status of sites has been included in the assessment criteria as recommended in the Regional Practice Guidance³. The guidance states that "sufficient sites outside existing settlement limit boundaries should be included to enable the authority to be as confident as possible that enough developable sites will be identified to meet the housing target, and to provide for the genuine consideration of alternatives, as part of the plan-making process".
- 15. The purpose of the SHLAA in relation to the Core Strategy is to provide evidence that sufficient housing land can potentially be provided within the locations indicated by the spatial strategy. Without such evidence it would be difficult to fully demonstrate the deliverability of the strategy. However, in light of the revised PPS12, if an Allocations DPD is to be prepared, as it is in York, it is not necessary to identify specific sites in the Core Strategy, but simply to demonstrate that there are sufficient potential sites with sufficient capacity, having considered reasonable alternative sites. The Allocations DPD will then make the final selection and show in more detail how the allocated housing sites relate to each other, other land uses, infrastructure and transport. The Spatial Strategy for York, which will be set by the emerging Core Strategy, will underpin the distribution of future development.
- 16. The SHLAA is a technical exercise, which forms one part of the overall evidence base. The SHLAA identifies potential supply and does not seek to make policy decisions on which sites should be developed, nor should it begin to formulate development plan policy in its own right. The inclusion of green field and green belt sites within the assessment does not pre-judge the strategic approach to the location of future development that will be set through the Core Strategy and which Members will be asked to consider starting when we present them with Preferred Options for the Core Strategy.

³ Understanding Yorkshire and Humber's Strategic Housing Land Availability: Regional Practice Guidance, April 2008.

An estimate of the housing potential of each site

- 17. The Guidance states that the estimation of the housing potential of each identified site should be guided by the existing or emerging plan policy, particularly the approach to housing densities at the local level. The approach to estimating the housing potential of sites assessed through the SHLAA has been based on the emerging approach of the Core Strategy, which is evidenced, from key documents such as the City of York Strategic Housing Market Assessment (SHMA).
- 18. For the purposes of the SHLAA the City of York has been split into zones which best reflect the characteristics of the areas. These zones are based on the assessment of housing market sub areas undertaken as part of the SHMA. Map 1 in Appendix 2 to the main report (Annex A) shows the zones. The zones are:
 - ➤ City Centre (based on the City Centre Inset from the City of York Local Plan and the City Centre Core Conservation Areas);
 - ➤ City Centre Extension Zone (including the York Central Site and the area around Foss Islands Road)
 - > **Urban area** (A buffer of a mile from the city centre boundary as used in the SHMA);
 - ➤ Suburban Area (covering the suburban area of York within the ring road (A1237) but including Haxby and Wigginton as used in the SHMA); and
 - > Rural Area (the rest of the villages and rural area within the City of York UA boundary).
- 19. A calculation has then been made for each site to calculate the net area of the site to which the calculation of housing yield should be applied. Following comments from Members on the density calculations used in the SHLAA Phase 1 report regarding the importance of the provision of on-site facilities such as open space, transport infrastructure and community facilities, the total gross site area has been 'netted off' using a gross to net site ratio to provide a net site area to which the density calculation has been applied. Figure 1 shows an example of the housing potential proforma, which has been completed for each site.

Figure 1: Housing Potential Section of the assessment proforma

Estimated I	Housing Capa	acity					
Gross site a	rea		3.76				
Net site area			0 - 0.4 ha 0.41 - 5 ha	Gross to net ratio: 0 - 0.4 ha = 100% 0.41 - 5 ha = 80% Over 5 ha = 70%			
Estimated h	nousing mix a	and ty	pe Criteri	ia			
Location	Affordable housing ratio	hc	ordable busing eshold	Houses to flats ratio:	Housir	ng type split	Type Applicable
City centre	50%		ha / 15 ellings	70:30	100% to	ownhouses	

City centre extension	50	1 %	0.3 ha / 15 dwellings	70:30	10	0% townhouses	
Urban	50	1%	0.3 ha / 15 dwellings	70:30		% townhouses/ % semi-detached	
Suburban	50%		0.3 ha / 15 dwellings	70:30		% townhouses / semi-detached % detached	
Rural / Village	50	50% 0.03 dwe		100:0		% townhouses / semi detached % detached	
Estimated total number of dwellings			141				
Housing Typ	e and	Mix:		Private dwellin	gs	Affordable dwellings	Total
No of house	S	Total		70		71	141
Semi-deta Townhous		detached / houses	32		32	64	
Detach		hed	17		18	35	
No of Flats			21		21	42	

- 20. This means that a proportion of land has been excluded to allow for the provision of on-site facilities and so as not to over estimate housing potential. In brief for sites below 0.4 hectares 100% of the site area is used, as these sites would tend to be small infill sites located in areas with access to existing open space and other community facilities and would tend to provide off-site contributions. For sites between 0.41 and 5 hectares it has been assumed that 20% of the gross site area would be needed for on site provision of open space, transport infrastructure and community facilities and for large sites above 5 hectares 30% of the gross sites area has been excluded. Further details on the calculations and examples of schemes on which the percentages have been based can be found in section 6 of the main report (Annex A).
- 21. Once the net site area has been established a proposed density figure has been applied to the site based on the zone, which the site falls within. To establish appropriate housing densities for each zone the SHMA has been used and background work undertaken for the emerging Core Strategy. Based on the overall aim of providing 70% houses and 30% flats across the York area a density has been calculated for each zone. The density for the houses (70%) has been based on the emerging Core Strategy work and background work on density and good design standards undertaken as part of SHLAA Phase 1. The density figures for the houses range from a minimum of 30 dwellings per hectare (dph) in the rural zone (based on the minimum PPS3 density) to 40 dph in the suburban zone (based on schemes such as Germany Beck and Derwenthorpe) and 75dph in the city centre (based on schemes such as Bedern, behind Goodramgate). For flats (30%) the density standards have been based on past trends in each of the zones and range from 80dph in suburban areas to 200 dph in the city centre. Rural areas have been calculated at 100% houses. Further examples are given in section 6 of the main report (Annex A).

- 22. Using these densities for house and flats a proposed 'composite' density standard has been calculated which is based on 70% houses at the appropriate density for the zone and 30% flats. The calculations are explained in detail in the main report (Section 6). The density standards used are: City Centre 92.59dph; city centre extension zone 84.74 dph; urban area 58.82 dph; suburban area 47.05 dph and rural area 30dph.
- 23. For the purposes of the SHLAA potential affordable housing contributions of sites have been based on the current policy (H2a). This policy seeks 50% affordable housing on sites above 0.03ha/15 dwellings in the urban area and 0.03ha/2dwellings in rural areas. As the Core Strategy emerges the SHLAA will incorporate any revisions to the affordable housing policy and these will be presented in the annual review of the SHLAA through the Annual Monitoring Report. Evidence of sites coming forward since the SHMA supported the 50% policy demonstrates that the provision of 50% affordable housing on site is achievable, especially on unconstrained greenfield sites. However, it is acknowledged that complex brownfield sites have very high on-site costs and may not always be able to realise 50% affordable housing. There may be contaminated sites, for example, with very high clear up costs, which could not have been fully identified at the time of land acquisition. The existing Local plan Policy H2A is a maximum target and officers have been consistent but flexible in negotiations where developers have demonstrated that abnormal site costs mean that this figure cannot be fully met for reasons of site viability. Thresholds and levels set out in the policy are currently being tested through a viability assessment, to establish whether they are viable for green and brownfield sites.
- 24. It is recognised that 50% is not necessarily achievable on all sites, particularly on brownfield sites, which may have high on-site costs, but for the purposes of this assessment the affordable housing estimates are there as a guide as to what level of affordable housing may be achievable through identified sites. The figures represent the optimum current policy level of 50% affordable housing.

An assessment of when and whether sites are likely to be developed

25. Assessing the suitability, availability and achievability of a site provides the information on which the judgement can be made in the plan-making context (through the Allocations DPD) as to whether a site can be considered deliverable, developable or not currently developable for housing development.

Assessing Site Suitability

26. In terms of assessing the suitability of sites for housing development each site has been assessed against a number of criteria. Each site was assessed on the basis of whether it was suitable, had the potential to be suitable with appropriate mitigation or whether it was unsuitable. Some examples of potential mitigation measures include the remediation of contaminated land or the provision of a compensatory area of open space. A simple traffic light system then colour codes the assessment for each suitability criterion, balancing all considerations. Details of the traffic light system and full details of all the

- criterion that were assessed as part of the suitability assessment can be found in Section 7a of the main SHLAA report (Annex A).
- 27. As part of the suitability assessment the first stage of analysis came from 'sieving out' immovable environmental constraints or protective designations on the land covered. Three primary constraints were assessed: flood risk (sites falling within functional flood plain, zone 3b); statutory and non-statutory environmental designations and land preserving the historic character and setting of York from the 2003 Green Belt Review. For the SHLAA, all sites falling within these important areas have been excluded from further assessment, as they are not considered to be suitable for housing development. Figure 11 in the main report lists the sites, which fall within a primary constraint area, and Appendix 5 to the main report (Annex A) includes a map showing all the sites, which fall, within a primary constraint area. In total 49 sites were removed at this stage.
- 28. The remainder of the sites were then assessed against the other suitability criteria, which include location suitability; transport and accessibility, geoenvironmental designations and strategic policies. In total 52 sites were removed from the SHLAA as being unsuitable. Figure 12 in the main report lists the sites removed as being 'unsuitable' and Appendix 6 to the main report includes full site proformas and maps for these sites. Full details of the criteria used are included in section 7a of the main report.

Assessing site availability

29. According to the Guidance, a site is considered available if there is confidence that there are no legal or ownership problems. In assessing the **availability** of sites for housing, the Council has assessed land ownership constraints that may be associated with bringing sites forward. This has included identifying whether there are any obvious legal or ownership problems, including ransom strips or multiple ownership issues. It should be noted that the Council has not identified the ownership of every site within the SHLAA as this was considered too costly an exercise to do and information could quickly become outdated. Instead the findings of the SHLAA are based on information held within various datasets and local knowledge, where available (e.g. through Uniform from planning applications or through evidence submitted through the Call for Sites exercise and the subsequent SHLAA consultation). The consultation exercise on the draft findings will present a further opportunity for developers and landowners to provide information on whether the site is available for development.

Assessing site achievability

30. Paragraph 40 of the Guidance states that 'a site is considered achievable for development where there is a reasonable prospect that housing will be developed on the site at a particular point in time.' This is a judgement about economic viability and the capacity of a developer to complete and sell the housing over a certain period. According to the guidance, the achievability of

- each site will depend on a combination of market factors, cost factors and delivery factors.
- 31. For the purposes of the SHLAA an indicative financial viability assessment was undertaken to appraise achievability on suitable sites. The financial viability assessment was conducted on those sites without planning permission. Where sites had planning permission for dwellings it has been assumed that the proposed development would be economically viable. This is on the basis that the applicant would have had to pay the planning fees and invest in the preparation of planning submissions and would only do this where the scheme would be built out economically or present an attractive proposition to the market where a site would be sold after attaining planning permission.
- 32. It is important to note that the financial appraisal is indicative and obviously subject to market fluctuations but the purpose of the assessment is to demonstrate that it is reasonable to assume that an economically viable scheme could be undertaken on the site.
- 33. The overall financial appraisal is calculated using the estimated total scheme value and the estimated total costs (build costs and 'other costs'). Comments have been added to the proforma where appropriate regarding likely abnormal development costs such as remediation works using the information from the site suitability proforma. An overall assessment is then made as to whether the site is viable or unviable based on the results of the financial appraisal. It is important to note that the financial appraisal is based on an indicative scheme based on the SHLAA methodology. Details of the methodology for the viability assessment can be found in section 7c of the main report (paragraph x to x).

An analysis of future potential housing supply

- 34. Out of a total of 226 sites assessed initially, 49 were removed due to the assessment deeming them unsuitable due to failing one of more of the primary constraints (see Appendix 5 to the main report).
- 35. A further 52 sites were removed due to the assessment deeming them unsuitable (see Appendix 6 to the main report).
- 36.27 sites were placed in the unknown/unavailable category and have not been included within the potential future housing supply as there is insufficient information available to be able make a judgement about when or whether these sites may come forward for development. Completed proformas for these sites can be found in Appendix 12 to the main report (Annex A).
- 37. A further 42 sites have been placed in the 'Draft Green Belt' category. These sites have been assessed as part of the SHLAA as they have been submitted as part of the 'Call for Sites' exercise but they are located within the draft Green Belt and would therefore only come forward in line with the emerging Core Strategy and following a detailed evaluation of the Green Belt value. Completed proformas for these sites can be found in Appendix 13 to the main report (Annex A).

38. 56 sites were considered deliverable and/or developable and placed within estimated five-year blocks of delivery. These 58 sites could theoretically provide 6,866 dwellings. Completed proformas for these sites can be found in Appendices 8 to 11 of the main report (Annex A)

Table 1: Overall Non-committed Supply (including allocations without permission and identified SHLAA sites)

Period (Financial Years)	Number of dwellings	Number of Sites (double counting sites)
0-5 (2008/9 - 2013/14*)	1,754	22
6-10 (2014/15 – 2018/19)	2,955	26
11-15 (2019/20 – 2023/24)	1,709	5
15+	448	3
Total Non-Committed Supply	6,866	56

^{*}For the purposes of the SHLAA the start date of the supply has been taken to be 1st April 2008, which is the date of the SHLAA assessment. The start date of supply for the Core Strategy will be five years from the date of adoption i.e. 2010.

39. The housing potential of the 56 deliverable/developable sites was calculated and the estimated number of dwellings has been distributed across individual years to predict when dwellings are likely to be delivered. In some cases a site appears in more than one five year period due to the phasing of dwelling completions. To avoid double counting it is necessary to identify those sites that fall into more than one 5yr period. Table 2 identifies the 9 sites where a site is included in multiple 5yr periods due to phasing the construction of dwellings on the site.

Table 2: Sites appearing in multiple 5-year periods

Site No	Name	Suitable	Timeframe	No. Dwellings
22	Grain Stores	Suitable	0-10years	197
106	Derwenthorpe*	Suitable	0-10years	540
115	Hungate*	Suitable	0-10years	720
276	Nestle South	Suitable	0-10Years	464
119	Germany Beck*	Suitable	0-15Years	700

	13	British Sugar	Suitable	0-15years	1250
	20	York Central	Suitable	0-15years+	1780
-	140	Terry's Factory	Suitable	6-15 years	412
	15	Site to NE Nestle	Suitable	6-15 years	514

These sites already have planning permission so are counted within the commitments (figure 18).

They do therefore not appear in the SHLAA supply tables (figures 19-21)

Sites with existing planning permission

The 2008 Annual Monitoring Report (AMR) provides the following figures:

Table 3: Sites already identified within the planning process

	New	New			
	Developments	Development			
	on Brownfield	on Greenfield			
	Land	Land			
	(excluding	(Excluding	Conversions		
	Conversions	Conversions	From Existing	COU to	Total on All
	& COU)	and COU)	Dwellings	Dwelling	Sites
Total Number of Net Dwellings with Outstanding Planning Permission at 31st March 2008	2845	1287	21	278	4431

- 40. Allocated sites with planning permission currently provide a remaining capacity of 2972 dwellings. Of these 1732 are on brownfield sites (58%) and 1,240 are on greenfield sites (42%). Sites that are unallocated but have extant planning consents provide a total remaining capacity of 1459 dwellings. Of these 1,396 are on brownfield sites (96%) and 63 on greenfield sites (4%).
- 41. The above figures reflect the consented capacities of sites. A discount of 5% has been applied to sites with planning permission (including allocations with permission). This is based on an analysis of non-implementation rates of past permissions to 2007. Future analysis of building rates will need to be carried out (through the AMR) to monitor any fluctuations that may be experienced and should changes occur the discount rate will be revised in future SHLAA Reviews.

SITES WITH DELIVERABILITY OR DEVELOPABLE POTENTIAL

42. Total number of dwellings without formal planning status but with deliverability or developable potential is 6,866 dwellings over 56 sites. These are sites that are deliverable or developable within the 5-year time frames assessed - 0-5 years, 6-10 years, 11-15 years and 15+ years. The suitability, availability and achievability of these sites have been assessed through the SHLAA process. For sites identified within the 0-5 years timeframe the process of site identification is compliant with paragraph 54 of PPS3 (stating there should be a reasonable prospect that housing will be delivered on the site within 5

- years) as it identifies those sites where planning permissions are pending, usually signalling on-going negotiations between development control and the applicant, or those sites owned or controlled by developers or corporate bodies e.g. housing trusts or council who have signalled an intention to progress sites.
- 43. Figures 19-22 in the main report list the sites in each timeframe. The completed proformas for these sites can be found in appendices 8-11 of the main report (Annex A).

Unknown/Unavailable sites

- 44.27 sites have been placed into the 'unknown' category. These sites have been assessed as potentially suitable for housing but through the availability assessment there has been insufficient information to make a judgment as to when or whether the site is likely to come forward for development. These sites have not been included within the housing supply as there is not enough information at this point in time to make an assessment of their deliverability. The estimated total potential supply of these sites is 1938 dwellings. Of these 1,043 potential units are on Brownfield land (54%), 520 units are on Greenfield land (27%) and 375 units are on mixed Brownfield / Greenfield sites (19%).
- 45. If following this consultation of the Draft SHLAA Phase 2 Report further information is submitted by landowners or developers to support the availability of sites then the sites position within the supply years will be reconsidered.
- 46. Details of these sites and the completed proformas can be found in appendix 12 to the main report (Annex A).

Sites within the draft Green Belt

- 47.42 sites have been placed into the 'sites within the draft green belt category'. These sites have been assessed as part of the SHLAA as they have been submitted as part of the Call for Sites Exercise but they are located within the Draft Green Belt and would therefore only come forward in line with the emerging Core Strategy and following a detailed evaluation of the Green Belt value.
- 48. It is the role of the LDF Core Strategy to determine the approach to York's Green Belt including both its role and lifespan. The LDF will create a permanent green belt for York that preserves its special character and setting, whilst ensuring sustainable development; and that the green belt boundaries once set will endure until at least 2030.
- 49. As the Core Strategy is still emerging the Green Belt Boundaries for York are as yet not set. For the purposes of the SHLAA sites that fall within the areas of land identified as being important in preserving the City's historic Character and Setting, as identified in the 'Approach to The Green Belt Appraisal'

- (2003), have been excluded from further assessment as detailed in Criterion 1. These sites are detailed in Appendix 5 to the main report (Annex A).
- 50. All sites falling within the other areas of the Draft York Green Belt have been grouped together in terms of their availability. Members will make any decision on their suitability for development as part of the LDF Core Strategy.
- 51. Details of these sites and the completed proformas can be found in appendix 13 to the main report (Annex A).

Review of the Assessment

- 52. This section of the report looks at the implications of the SHLAA findings against the current housing requirements set out in the Regional Spatial Strategy (RSS) for Yorkshire and the Humber. This involves an analysis of completion tends in previous years.
- 53. The current RSS housing requirement for York is 640 dwellings per annum from the period 1st April 2004 31st March 2008 and from 1st April 2008 to 31st March 2026, 850 new homes should be built each year.
- 54. Beyond the end date of the current RSS (2026), an annual rate of 850 homes per year has been projected forward until the end date of the plan (2030). This is to ensure that it can be guaranteed the City can meets its housing need without encroaching on proposed Green Belt Land.
- 55. The base date for the requirement starts from 1st April 2004 and runs to the 31st March 2030. The overall requirement for York from 1st April 2004 to 31st March 2030 is 21,260 dwellings over a period of 26 years.

Recent Completions

56. It is important to look at the performance of housing completions from 2004 to the present date (four year period).

Table 4: Net completions in York 2004-2008

Year	ompletions	ew Build	Conversions	Change of Use	emolitions	t Dwelling Gain
-2005	1193	993	27	153	13	1160
-2006	949	784	11	128	17	906
-2007	875	734	18	92	46	798
-2008	557	442	19	68	6	523
-2008	3574	2953	<i>75</i>	441	82	3387

57. Table 4 shows that since 2004 there have been 3,387 net completions in York, with an annual average completion rate of 847 dwellings. Set against the RSS target of 640 dwellings per annum (2,560) from 2004 to 2008 it is clear that York has exceeded the proposed requirement for these years.

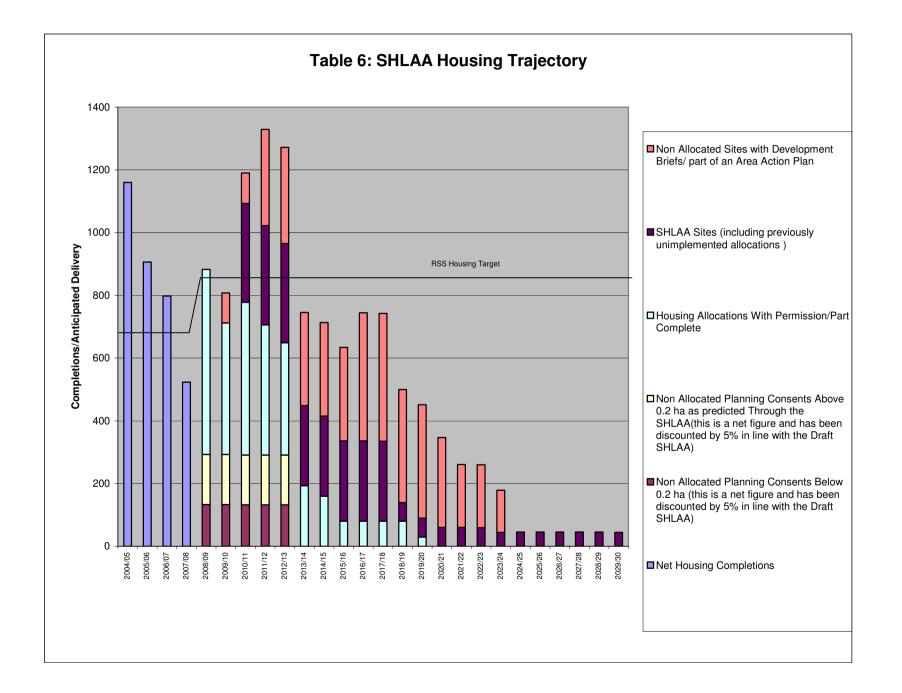
Supply and Requirements

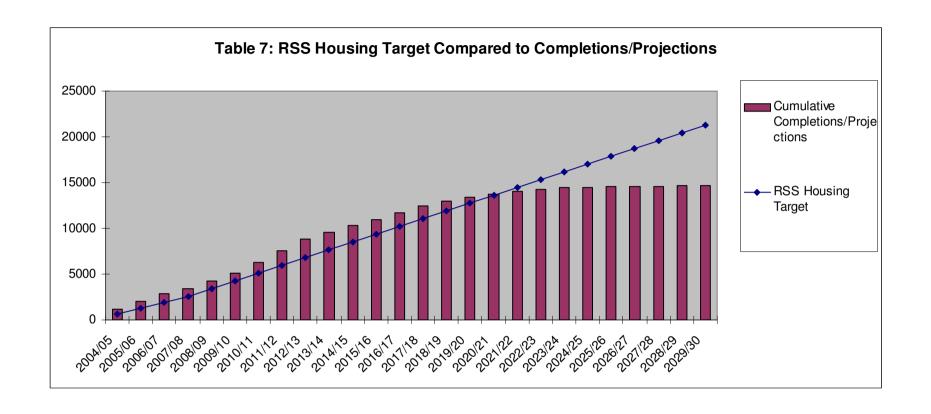
58. It is important to assess the housing requirements against the supply that has been identified either as previous completions, current commitments or as potential sites coming forward, in order to assess whether sufficient supply can be broadly identified to meet the longer term housing requirements.

Table 5: Housing Supply 2004-2030

Source of Supply	Number of Units
Net completions (2004-2008)	3,387
No. Net dwellings with outstanding planning permission (incl. Allocations with permission) (discounted at 5%)	4,431
Potential Housing Sites in SHLAA (excluding unknown or draft green belt	6,866
sites) Total Identified Supply	14,684

- 59. Table 5 illustrates that there is the potential to accommodate an additional 14,684 units between the period of 2004 to 2030 (of which 3,387 have already been provided). This compares with the RSS requirement over this period of 21,260 units. This means that there is a shortfall of 6,576 units to 2030.
- 60. The SHLAA database also provides a more detailed assessment of the amount of housing that each site is expected to deliver each year, as set out in Table 6, the housing trajectory. The housing trajectory (Table 6) is made up of the potential housing supply including net housing completions, existing planning consents on non-allocated sites, existing planning consents on allocated sites, SHLAA sites (including existing housing allocations without permission) and SHLAA sites with a Development Brief or emerging Area Action Plan. Table 7 shows the cumulative supply set against the RSS target. The cumulative supply graph (Table 7) indicates that there is enough potential supply to meet the RSS housing target until the year 2021/22. In the year 2021/22 there is a potential undersupply of 484 dwellings against the RSS target of 850 dwellings per year.
- 61. Sites that are currently under construction or have an extant planning permission are much easier to make yearly completion assumptions on compared to sites that may become available towards the end of the plan period. The housing trajectory provides a good illustration of the expected annual completion rates over the plan period.
- 62. It is a key role of the LDF to ensure that sufficient land will be available to meet this need whilst also building in flexibility for any subsequent reviews of RSS or any successor plan and lower than expected rates of delivery on identified sites. The selection of future sites or areas for potential future development must conform to the Spatial Strategy, which will be outlined in the emerging Core Strategy.





Planning for the shortfall – assessing the potential of broad locations and/or windfalls

- 63. The CLG guidance, PPS3 itself and other policy and advice make it clear that local authorities, in planning for housing land, should not plan for a set level of windfalls, except in the most exceptional of circumstances. A windfall allowance should therefore not be considered as a component of a Strategic Housing Land Availability Assessment. CLG has not categorically defined 'exceptional circumstances', but advice from the Planning Advisory Service considers that this might be thought of as an area, which is almost entirely built-up to the district boundaries, within which there is no reasonable prospect of identifying at least a 15-year supply of housing land. Within the region, Hull is the only authority, which comes close to this definition.
- 64. It is likely that windfalls will continue to come forward at a significant rate in many parts of the region. Windfalls are a particular issue in Yorkshire & the Humber, with significant components of recent housing supply in West and South Yorkshire urban areas as well as key towns such as Harrogate and York coming forward as windfalls. For example, in 2006/2007, 81% of housing development across the region was completed on windfall sites. In York in the same period 58% of net completed sites was on windfall sites. This, in part, has been the result of previous national planning policy and the investor-led market for city centre apartments. Over the last ten years in York an average of 435 windfalls per annum have been completed.
- 65. If this continues to be the case, then delivery of windfall sites will keep 'pushing back' the planned supply of housing land, so that land initially identified in the 10-15 year supply may not be required for housing until a later date. In effect, windfalls will 'drop in' near the front end of the conveyor pushing everything else back. To this effect, local authorities should still seek to understand past trends of windfall sites and the likelihood of future sites coming forward as windfalls to continue to inform the position at a regional level. This information is pertinent to the overall housing supply situation within the region and will continue to inform the regional evidence base and inform future RSS policy revision.
- 66. The purpose of the PPS3 approach is to ensure a forward supply of housing land is identified in the absence of windfalls coming forward. In delivering the Local Development Framework, in particular when developing Core Strategy and Site Allocations DPDs, Local Planning Authorities should use the 'plan, monitor and manage' approach to ensure a phased approach to the release of housing land according to the level of windfalls actually occurring. In practice, this means that Local Planning Authorities may identify possible housing land in the Strategic Housing Land Availability Assessment and subsequently in site allocations which may not actually be required for housing for many years beyond the period anticipated if housing on windfall sites continues to be delivered in significant numbers in the Region. Allowances for windfalls **should not** be included in the first 10 years of land supply unless Local Planning Authorities can provide robust

evidence of genuine local circumstances that prevent specific sites being identified.

- 67. Neither PPS3 nor the SHLAA guidance seek to fully answer when we can safely take account of windfalls, because it must depend on the particular circumstances of the individual authority. To be able to take account of windfalls an authority needs to first
 - Carry out a thorough and detailed SHLAA study which identifies as many sites as practicable
 - > Review the results to see how much supply has been identified; and
 - ➤ Consider broad locations for proactive policy within settlements, and for urban extensions where there is potential for them very few authorities have such tight boundaries that there are no possible urban extensions to consider, albeit that this may involve possible changes to green belt boundaries or other high level policy constraints.
- 68. Only when these stages have been completed can an authority begin to consider whether it can produce robust evidence 'of genuine local circumstances that prevent specific sites being identified'.
- 69. In the past York's housing supply has included a high number of windfalls (housing delivered on sites not identified in any development plan). As far as possible those sites with windfall potential have been identified and assessed through the SHLAA process and it has identified most available sites for the short and medium term. However, given the timescale of the LDF (to 2030) there are likely to be sites that fit with the Spatial Strategy that will become available particularly towards the latter end of the plan. Based on previous rates it is estimated that this source could provide around 2,175 homes between 2025/26 and 2030. The emerging Core Strategy will need to plan how the shortfall in sites should best be planned for. Broad locations are areas where housing development is considered feasible and will be encouraged but where specific sites cannot yet be identified.
- 70. The advantage of broad locations is that the community will be clearer about where future development will be directed and there will be greater certainty to developers about where development may be encouraged. It is a proactive approach to planning, which reflects positive choices about the direction of future housing growth, rather than a reactive approach to development opportunities as they arise.
- 71. The SHLAA has already assessed a number of large sites located within and adjoining settlements where housing development could be suitable if sufficient land was provided for other uses such as shops, schools and open space. These sites are mainly located within the existing green belt and as such have not been

included in the housing supply at this stage. The sites as detailed in appendix 12 to the main report (Annex A) are not considered as available for the purposes of the SHLAA as they would need to come forward if required as part of the emerging Core Strategy and following a detailed review of the green belt value and further detailed site by site analysis of their suitability. The SHLAA gives an indication of their potential suitability, which could be used as a starting point for further assessment.

Conclusions

- 72. This SHLAA Draft Consultation Report provides a snapshot picture of both the committed and potential supply in York up to 2030, with a base date of 1st April 2008. The results of the SHLAA will be used to help inform work on the Local Development Framework, including both the Core Strategy and Allocations DPD.
- 73. It has been carried out in accordance with the CLG guidance and Regional Practice Guidance on SHLAA and the Council has sought to engage with appropriate stakeholders at various stages of the process, including consulting on the draft methodology, a 'call for sites' exercise and further consultation with stakeholders regarding the suitability, availability and achievability of sites. This draft consultation report presents a further opportunity for consultation with stakeholders. There will be further opportunities as the SHLAA develops and is reviewed through the Annual Monitoring Report (AMR) process for stakeholders to continue to be involved, for example providing additional information on sites or suggesting new sites as they become available.
- 74. The sites that have been identified in the SHLAA database are derived from a number of sources including the Call for Sites Exercise. It is important to note that certain assumptions have been made within the assessment based on the CLG guidance and on officer's professional judgement at a certain point in time. The SHLAA should be treated as a 'living' document and the information will be subject to change over short periods of time, as sites move from one time period to another for example.
- 75. Consequently, planning applications for residential development will continue to be assessed on their individual planning merits in accordance with the development plan and other material planning considerations. Information that is contained in the SHLAA may act as a useful indication of opportunities or constraints on a site but applicants will still need to undertake their own detailed research to determine the full potential for residential development opportunities on sites within the SHLAA or indeed those that have not been identified.

Next Steps

76. Following Members consideration of the SHLAA further work will be undertaken to consider its conclusions in light of our overall planning strategy and strategic

approach to housing development. This will include the consideration of the sites in light of the approach to development we are establishing as part of the LDF Core Strategy and other relevant site-specific information.

- 77. This consultation draft, if approved by Members, will then be sent out to all stakeholders who took part in the previous SHLAA consultation, which includes landowners, agents, developers and statutory organisations. In addition the report will be placed on the Council website. Following consideration of comments received the final SHLAA Phase 2 report will be brought back to members of the LDFWG.
- 78. The outcomes of the further work on sites will be reported to Members later this year as a part of the development of the Allocations DPD and City Centre AAP.

Corporate Priorities

- 79. The option outlined above accords with the following Corporate Strategy Priorities:
- Improve the quality and availability of decent affordable homes in the city

Implications

- 80. The following implications have been assessed.
 - Financial None.
 - Human Resources (HR) None.
 - Equalities None
 - Legal None
 - Crime and Disorder None
 - Information Technology (IT) None
 - Property None
 - Other None

Risk Management

81. There are no identified risks in this proposal

Recommendations

That Members:

(i) Approve, subject to the recommendations of this Working Group, the proposed consultation draft Strategic Housing Land Availability Assessment, included as Annex A to this report, for publication as part of the Local Development Framework evidence base.

Reason: So that the Strategic Housing Land Availability Assessment can be used as part of the Local Development Framework evidence base.

(ii) Delegate to the Director of City Strategy, in consultation with the Executive Member for City Strategy, the making of any other necessary changes arising from the recommendation of the LDF Working Group, prior to its publication as part of the Local Development Framework evidence base.

Reason: So that any recommended changes can be incorporated into the Strategic Housing Land Availability Assessment.

Contact Details

Author:

Rachel Macefield
Principal Development Officer
City Development

Tel: 01904 551356

Chief Officer Responsible for the report:

Damon Copperthwaite

Assistant Director of City Strategy

Tel: 551448

Report Approved

V

Date 26/02/2009

Specialist Implications Officer(s)

N/A

Wards Affected: List wards or tick box to indicate all

	_
All	

For further information please contact the author of the report

Background Papers:

None

Annex A: Draft Strategic Housing Land Availability Assessment

(The appendices to Annex A have not been included in the printed agenda due to their size but are available with the online agenda on the Council's website.)



Annex A:

Strategic Housing Land Availability Assessment Consultation Draft

February 2009

Page 28

Strategic Housing Land,, ...sessment: City of York

INTRODUCTION	3
OBJECTIVES	4
POLICY FRAMEWORK	
METHODOLOGY	8
STAGE 1: PLANNING THE ASSESSMENT	11
STAGE 2: DETERMINING SOURCES OF SUPPLY	
STAGE 3: DESKTOP REVIEW OF EXISTING INFORMATION	
STAGE 4: DETERMINING WHICH SITES AND AREAS TO SURVEY	
STAGE 5: C ARRYING OUT THE SURVEY	
STAGE 6: ESTIMATING THE HOUSING POTENTIAL OF EACH SITE	
Housing Market Areas	
Calculating the Net Site Area	
Estimating Site Density	
City Centre	
Estimating the Affordable Housing Potential of each site	
Estimating Housing Types	
STAGE 7: Assessing when and whether sites are likely to be developed	
STAGE 7A: ASSESSING SUITABILITY FOR HOUSING	
Introduction to Directions for Suitability Assessment	
Criterion 1 – Primary Constraints	
Criterion 2 – Location Suitability	
Criterion 3 – Transport and Accessibility	
Criterion 4 – Geo-environmental Considerations	
Criterion 5 – Strategic Policies	
STAGE 7B – ASSESSING AVAILABILITY FOR HOUSING	
STAGE 7C – ASSESSING ACHIEVABILITY FOR HOUSING	
Private Scheme Value	
Affordable Scheme Value	
Build Costs	
Other Costs / Profits	
Overall Viability Assessment	
STAGE 8: ASSESSMENT RESULTS	
Overall Capacity	
Sites within the Planning Process	
Sites with Planning Permission	
Sites with deliverability or developable potential	
Unknown Sites	
Sites within the Draft Greenbelt	
REVIEW OF THE ASSESSMENT	61
Recent Completions	
Supply and Requirements	
Housing Trajectory	
STAGES 9 & 10: Assessing the housing potential of broad locations and	
DETERMINING THE HOUSING POTENTIAL OF WINDFALLS	66
CONCLUSION	68

Page 29

Strategic Housing Land,, ...sessment: City of York

List of Figures

FIGURE 1: KEY STAGES OF THE SHLAA	8
FIGURE 2: THE STRATEGIC HOUSING LAND AVAILABILITY ASSESSMENT	
FIGURE 3: HOUSING SUPPLY AS A CONVEYOR BELT	
FIGURE 4: SOURCES OF INFORMATION	
FIGURE 5: COMMITTED DEVELOPMENT IN YORK 2004-2008	
FIGURE 6: HOUSING POTENTIAL SECTION OF THE ASSESSMENT PROFORMA	
Figure 7: Gross to Net Site Ratio.	
FIGURE 8: DENSITY STANDARDS FOR HOUSES	
FIGURE 9: DENSITY STANDARDS FOR FLATS	
Figure 10: Housing Types	
DIAGRAM 1: EXAMPLE OF SITE SUITABILITY PROFORMA	
FIGURE 11: SITES FAILING PRIMARY CONSTRAINTS	
FIGURE 12: SITES REMOVED FROM THE SHLAA AS BEING UNSUITABLE	43
FIGURE 13: EXAMPLE OF AVAILABILITY ASSESSMENT	
FIGURE 14: ILLUSTRATIVE EXAMPLE OF RESIDUAL LAND VALUATION METHOD (OFFICE	
FAIR TRADING, SEPTEMBER 2008.	47
FIGURE 15: PRIVATE SCHEME VALUE CALCULATION EXAMPLE	48
FIGURE 16: OVERALL NON-COMMITTED SUPPLY (INCLUDING ALLOCATIONS WITHOUT	
PERMISSION AND IDENTIFIED SHLAA SITES)	52
FIGURE 17: SITES APPEARING IN MULTIPLE 5-YEAR PERIODS	53
FIGURE 18: SITES ALREADY IDENTIFIED WITHIN THE PLANNING PROCESS	53
Figure 19: 0-5 Year Period	55
Figure 20: 6-10 Year Period	56
FIGURE 21: 11-15 YEAR PERIOD	56
Figure 22: 15+ Year Period	57
FIGURE 23: SITES IN THE 'UNKNOWN' CATEGORY	57
FIGURE 24: SITES IN THE DRAFT GREEN BELT CATEGORY	59
FIGURE 25: NET COMPLETIONS IN YORK 2004-2008	
FIGURE 26: HOUSING SUPPLY	62
FIGURE 27: SHLAA HOUSING TRAJECTORY	
FIGURE 28: RSS HOUSING TARGET COMPARED TO COMPLETIONS / PROJECTIONS	65

Note:

The inclusion of sites within this study should not be taken to imply that the Council would consider planning applications favourably. Although it will inform housing allocations, it will not determine the allocation of land for housing development. It will also not prevent sites being brought forward or allocated for purposes other than residential development. All future planning applications will be considered individually and will be assessed through policies in the most up to date development plan.

INTRODUCTION

PPS3¹ sets out the requirement for Local Authorities to demonstrate a 5-year deliverable and 6-15 year developable supply of housing.

- 1.1 Local Authorities are required to demonstrate that they have a sufficient supply of potential sites suitable for residential development to meet the annual housing requirements set out in Regional Spatial Strategies. It notes how a robust shared evidence base of land availability through a Strategic Housing Land Availability Assessment (SHLAA) should inform Local Development Document and Regional Spatial Strategy policies and highlights the SHLAA as the main mechanism through which to identify a deliverable and developable supply of sites.
- 1.2 The key function of Strategic Housing Land Availability Assessments is to:
 - Identify sites with potential for housing;
 - Assess their housing potential; and
 - Assess when they are likely to be developed.²
- 1.3 The purpose of the SHLAA is to identify sites with housing potential, make an assessment of how many dwellings could come forward and when. This enables a calculation to be made of any shortfall in supply required to meet housing targets so that measures can be taken to allocate additional land. The SHLAA goes further than the previous Urban Capacity Studies in that it considers potential extensions to the urban areas and development in rural settlements in settlements that are not necessarily considered suitable under current development plan policy.
- 1.4 This is one of a number of reports that will provide baseline evidence to be used by the Council in the preparation of the documents that form the Local Development Framework (LDF). The assessment itself does not represent a statement of Council policy; it is for the Core Strategy and Allocations DPD's to decide which sites are deliverable and should come

¹ Planning Policy Statement 3: Housing (Communities and Local Government, 2006)

² Paragraph 6, Strategic Housing Land Availability Assessments: Practice Guidance (Communities and Local Government, 2007)

Page 31

Strategic Housing Land,sessment: City of York

forward for residential development and in what timescale. The inclusion of sites in the SHLAA should not be taken to imply that they will be allocated for development, or that the Council will consider planning applications favourably.

- 1.5 The role of the SHLAA is only as a pool of *potential* housing sites against which other policy considerations will need to be balanced. The SHLAA is to be used to support decision-making and does not pre-judge the strategic approach that the Core Strategy will take.
- 1.6 The following report outlines the planning context, details the chosen methodology and demonstrates the Council's potential housing supply.

OBJECTIVES

- 1.7 The main aim of the SHLAA is to demonstrate a deliverable and developable supply of land for housing. This will demonstrate whether the Council is in a position to meet the RSS target of 850 dwellings per year and will form a key part of the evidence base for the Local Development Framework (LDF). The key objectives of the SHLAA are to:
 - Assess land availability by identifying buildings or areas of land (including previously developed land and Greenfield) that have development potential for housing;
 - Assess the potential level of housing that can be provided on identified land:
 - Identify constraints that might make a particular site unavailable and/or unviable for development;
 - Assess which sites are likely to be deliverable and which are likely to be developable.³
- 1.8 Strategic Housing Land Availability Assessments provide a robust methodology by which all potential housing sites within a defined area of search can be identified. Sites are then assessed on the basis of when and whether they are likely to be developed, meaning that, in principle, a continuous five-year supply of housing and a forward trajectory of housing supply can be identifies, as required by PPS3. A robust SHLAA is therefore a critical part of the evidence base necessary to support the production and adoption of Development Plan Documents.
- 1.9 The results from this SHLAA will be used to inform the LDF allocation process, although the identification of sites in this report will not determine allocations for housing development.

³ Annex 3, Planning Policy Statement 3: Housing (Communities and Local Government, 2006).

POLICY FRAMEWORK

NATIONAL POLICY

- 1.10 Planning Policy Statement 3 highlights the Government's objective for everybody to have access to a decent home. It notes how there is a need to provide a greater choice in housing to ensure that the needs of all in the community are met. In order to achieve these aims the Government has emphasised the importance of a "flexible, responsive supply of land which is managed in a way that makes efficient and effective use of land, including the re-use of previously-developed land, where appropriate"
- 1.11 The Strategic Housing Land Availability Assessments Practice Guidance⁵ (referred to as the Guidance for the remainder of the report) details the requirements of Local Authorities to:
 - Identify specific, deliverable sites for the first five years of a plan that are ready for development;
 - Identify specific, developable sites for years 6-10 and ideally 11-15;
 - Where it is not possible to identify specific sites for years 11-15 of the plan, indicate broad locations for future growth; and
 - Not include an allowance for windfalls in the first 10 years of the plan unless there are justifiable local circumstances that prevent specific sites being identified.⁶
- 1.12 According to PPS3 and the SHLAA Guidance each local authority should demonstrate a deliverable five-year supply. To be considered *deliverable*, sites should, at the point of adoption of the relevant Local Development Document be:
 - Available the site is available now;
 - **Suitable** the site offers a suitable location for housing development now and:
 - Achievable there is a reasonable prospect that housing will be delivered on the site within five years from the date of adoption of the plan.
- 1.13 To be considered *developable*, a site should be in a suitable location for housing development and there should be a reasonable prospect that it will be available for and could be developed at a specific point in time, but may not be achievable within the next five years.

⁴ Paragraph 10, Planning Policy Statement 3: Housing (Communities and Local Government, 2006).

⁵ Strategic Housing Land Availability Assessments: Practice Guidance (Communities and Local Government, 2007).

⁶ Paragraph 5 Strategic Housing Land Availability Assessments: Practice Guidance (Communities and Local Government, 2007).

1.14 A site will be considered not currently developable where it is unknown when the site could be developed because constraints are so severe it is not known when they may be overcome⁷.

REGIONAL POLICY

- 1.15 The Regional Spatial Strategy (RSS) 2008 sets out the required levels of growth in terms of future housing provision for York. It indicates that:
 - Over the period 2004-08, 640 new homes should be built each year; and
 - Over the period 2008-2026, 850 new homes should be built each year.
- 1.16 Beyond the end date of the current RSS (2026), an annual rate of 850 homes per year has been projected forward until the end date of the plan (2030). This is to ensure that it can be guaranteed the City can meets its housing need without encroaching on proposed Green Belt Land. The Yorkshire and Humber Assembly are currently working on an update of the Housing topic in the Regional Spatial Strategy. As a result of the early feedback from the Spatial Options consultation the assembly have decided to do some further work on housing delivery in the form of a short enquiry which will consider written evidence and further oral evidence from some participants.
- 1.17 The Yorkshire and Humber Plan⁸ sets out the need for local authorities to use the results of up to date Strategic Housing Land Availability Assessments to inform the allocation of and development control decisions upon specific sites.

LOCAL CONTEXT

- 1.18 It is crucial that the SHLAA is approached as a technical exercise, which forms one component of the overall evidence base. The SHLAA should be seen as a document, which identifies potential supply and does not seek to make policy decisions on which sites should be developed, nor should it begin to formulate development plan policy in its own right.
- 1.19 The SHLAA's role is within the wider evidence base and it should be used in conjunction and alongside other evidence, for example, the Employment Land Review, Strategic Flood Risk Assessment and Strategic Housing Market Assessment. The SHLAA's role is as a pool of potential housing sites against which other policy considerations will need to be balanced. It should be made clear that the SHLAA is to be used to support decision-making and does not pre-judge the strategic approach that the Development Plan will take.

⁷ Paragraph 33 and 34, Strategic Housing Land Availability Assessments: Practice Guidance (CLG, 2007)

⁸ The Yorkshire and Humber Plan: Regional Spatial Strategy to 2026, Government Office for Yorkshire and the Humber, May 2008.

Page 34

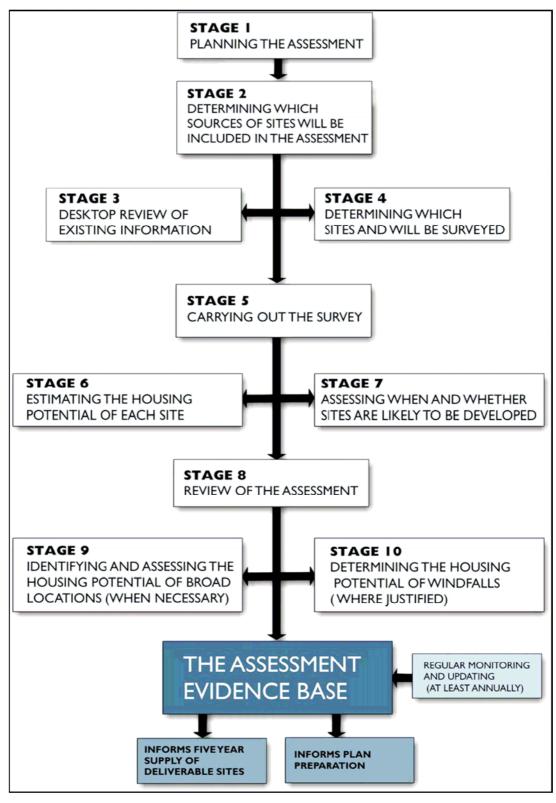
Strategic Housing Land,, ...sessment: City of York

1.20 The SHLAA does not make a judgement as to what the policy approach in the Core Strategy should be but provides evidence on the availability of land in York for housing. The Core Strategy will need to consider whether a change in policy approach, from current Local Plan policies, will have to take place in order to deliver York's housing target.

METHODOLOGY

2.1 Figure 1 outlines the key stages involved in a SHLAA. This process is detailed in the SHLAA Guidance and constitutes the methodology used in the production of this SHLAA.

Figure 1: Key Stages of the SHLAA



2.2 Figure 2 simplifies the basic elements of the SHLAA; i.e. a database of sites and associated information against which an assessment is run

Figure 2: The Strategic Housing Land Availability Assessment



- 2.3 A useful way to understand how the SHLAA fits into the overall housing supply picture is to illustrate the housing supply as a 'Conveyor Belt' as set out in figure 3.
- 2.4 This helps to provide an understanding of why it is unnecessary to consider windfalls and very small sites as part of the SHLAA process. As set out later in this report, doing so is likely to result in the basis of the SHLAA being challenged.

Strategic Housing Land, wallasting, Ausessment: City of York

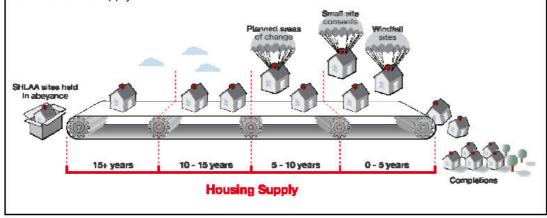
Figure 3: Housing Supply as a Conveyor Belt

The Strategic Housing Land Availability Assessment is an important part of identifying the continuous 5-year supply of housing and land-supply beyond that. A useful way of conceptualising this is to see housing supply as a conveyor belt.

The conveyor belt produces housing supply (in the form of completions). At the very front of the conveyor are the housing sites under construction. Beyond those, and next in line, are those sites with planning consent which are considered likely to be delivered within the 5-year supply timeframe. This will include windfall sites and small sites, which have not been planned for as part of the supply, but are nonetheless forming part of the supply. In effect, they have 'dropped-in' to the supply identified in the Strategic Housing Land Availability Assessment, pushing everything else back.

Beyond this is the current phase of allocated housing land. Beyond that are later phases, or sites with planning consent which are likely to be delivered in the medium term. And so the picture builds with later phases of allocated housing land and then unallocated sites identified in the Strategic Housing Land Availability Assessment forming the start of the conveyor. Planned areas of change and regeneration are likely to appear on the conveyor as part of the medium-term supply, where these are being pro-actively planned for.

This principle is useful in demonstrating how windfalls and small sites are still considered as part of the supply, but which 'fall-in' near the end of the conveyor, effectively 'pushing-back' the rest of the supply.



STAGE 1: PLANNING THE ASSESSMENT

ESTABLISHING A PARTNERSHIP

3.1 The Guidance advocates the use of sub-regional housing market areas as the level of aggregation for the SHLAA. After consideration of the considerable regional and local research into sub-regional housing market areas it was decided that the York SHLAA should use the City of York administrative boundary as its housing market area as used in the York Strategic Housing Market Assessment. This also accords with the findings of the DTZ study⁹ commissioned by Yorkshire and Humber Assembly to inform the Regional Spatial Strategy for Yorkshire and the Humber which concluded that York provides a satisfactory focus on which to base a sub area. The methodology of this assessment has followed the practice guidance and will therefore be capable of aggregation to a wider housing market area level if required at a later date. Further details of the DTZ report are included in the City of York Strategic Housing Land Availability Assessment Phase 1 Report¹⁰.

STAKEHOLDER CONSULTATION

- 3.2 The Guidance advocates that local authorities should involve key stakeholders including house builders, social landlords, local property agents and local communities during the survey and assessment stages to provide useful and pragmatic advice. The methodology for undertaking the SHLAA was sent out to numerous stakeholders in April 2007 including the House Builders Federation, English Heritage and Natural England and placed on the Council's website. Following the consultation amendments were made to the methodology. Phase 1 of the City of York SHLAA was taken to the Local Development Framework Working Group in December 2007 and following further amendments was published in April 2008.
- 3.3 The Phase 1 SHLAA was based on an initial assessment of sites within the urban area and larger villages and an initial estimate of future potential capacity was made based ion an initial assessment of constraints and a density assessment. Phase 1 of the SHLAA only covered stages 1 to 6 of the methodology (Figure 1) and did not include an assessment of the suitability, availability and achievability of sites identified.

⁹ Yorkshire and Humber Assembly Report: Identifying the Sub-Regional Housing Markets of Yorkshire and Humber

¹⁰ City of York Strategic Housing Land Availability Assessment Phase 1, City of York Council, April 2008 http://www.york.gov.uk/environment/Planning/Local_development_framework/LDF_Evidence_base/HLAA/

- 3.4 This second phase of the SHLAA started with a 'Call for Sites' as part of the Issues and Options consultation process for the Allocations DPD. This process invited members of the public, developers, land agents e.t.c to suggest sites to the Council for possible future development (this included sites for all land use types, not just housing). The sites put forward as part of this process for housing or for mixed-use development have now been added to the sites identified in the Phase 1 assessment. These sites have now been collated on one SHLAA database and this report details the results of the assessment following all the stages of the methodology outlined in figure 1.
- 3.5 As part of the assessment of suitability, availability and achievability further consultation has taken place with all those people who submitted sites as part of the Call for Sites and in addition other key stakeholders identified from the LDF consultation database. A full list of consultees is included in Appendix 1 to the main report. A letter and questionnaire was sent to each stakeholder asking whether they would like to take part in further consultation on the SHLAA to give their views on the draft SHLAA report and for all those people who submitted sites views were sought on site suitability and possible constraints to development, site ownership and availability and likely timescales for the site to become available. A copy of the letter and questionnaire sent to stakeholders is included in Appendix 1 to the main report. Completed questionnaires were received for 65% of the sites.
- 3.6 The SHLAA was carried out internally as it was felt that sufficient expertise existed within the Council to assess potential housing sites through existing databases and local knowledge. The results of the draft SHLAA have also utilised the expertise and knowledge of the stakeholders that have responded to the invitation to submit sites. For example, house builders and landowners have been able to give their views on the availability and deliverability of the site/s put forward.
- 3.7 Further views are now being sought on this consultation draft report, which will be assessed and actioned prior to the publication of the final report. This further consultation exercise on the draft findings of the SHLAA will help to ensure that the SHLAA is of sufficient quality to form an essential part of the evidence base for the LDF, which can be built on annually.
- 3.8 The results of the SHLAA are held within an Access database connected to the Council's GIS (MapInfo). The intention is to continuously review the information within the SHLAA database as part of the housing monitoring system already in place and to formally update it on an annual basis, with a base date of 1st April through to 31st March. This annual review will determine if there have been any changes in the sites identified (e.g. if site has been granted planning permission or if a site has started development). The results will be included in the Annual Monitoring Report (AMR) for the LDF, which will include details on the housing trajectory taken from the SHLAA.

STAGE 2: DETERMINING SOURCES OF SUPPLY

4.1 In line with the CLG guidance, the findings of the York SHLAA includes an assessment of the following sources of sites that have potential for housing that are currently in the planning process. Please note that the following sources relate to the position at the 1st April 2008.

Sites with extant permissions at 31st March 2008

- 4.2 Sites with current planning permissions (either full or outline) for residential development (or mixed-use with a residential element) were included in the SHLAA if the site area was above the SHLAA site threshold of 0.2ha. This includes sites that are currently under construction in addition to sites that are not under construction.
- 4.3 All sites with permission under the threshold are included as consents but have not been considered individually as part of the SHLAA process.

Existing Local Plan Allocations

4.4 Policy H1 in the 2005 City of York Development Control Local Plan allocated a total of 30 sites for residential development. All sites that have since been completed have been omitted from the list of SHLAA sites and the sites with remaining capacity have been included.

Sites with existing Development Briefs or emerging Area Action Plans

4.5 Sites and/or areas that have existing development briefs or an emerging Area Action Plan that include or have the potential to include an element of residential development have been included within the assessment. Specifically these are York Northwest (Including the York Central and British Sugar site), Terry's factory, Nestle South and Castle Piccadilly.

Sites that are allocated (or have planning permission) for employment which are no longer required for that use

4.6 The findings of the Employment Land Review (ELR) has helped to identify sites that are potentially no longer suitable for employment use or may have longer —term redevelopment opportunities, which may involve an element of residential use. Sites which have been short listed in the ELR as being the most suitable sites for employment use have been included in the assessment if they were submitted as part of the Call for Sites exercise but the findings of the ELR have been used to make a judgement on the sites suitability for housing development.

Strategic Housing Land,, ...sessment: City of York

4.7 In addition, the results of the SHLAA include an assessment of other sites that are not within the planning system but where they may be potential for residential development. These include:

National Land Use Database

4.8 The National Land Use Database (NLUD) identifies and monitors previously developed land and buildings in England that may be available for development, whether derelict, vacant or still in active use¹¹. The NLUD is updated by Local Authorities on an annual basis, and sites identified as being suitable for residential development in the 2007 update were included in the SHLAA. City of York completed the NLUD update in December 2007.

"Call for sites" Exercise

4.9 The Council undertook a 'Call for Sites' exercise through which members of the public and key stakeholders were invited to submit sites with the potential for development. This process was carried out as part of the public consultation on the Issues and Options for the Allocations DPD. A press release was issued and the exercise was advertised on the Council's website in accordance with the consultation process outlined in the Council's Statement of Community Involvement¹². Consultation on the Allocations DPD Issues and Options Report including the Call for Sites exercise took place between March and May 2008 and a total of 226 sites were mapped and assessed as part of the SHLAA. This included all sites put forward as suitable for residential development or for mixed-use development with an element of residential.

¹¹ www.nlud.org.uk

¹²

STAGE 3: DESKTOP REVIEW OF EXISTING INFORMATION

- 5.1 The process and method used in the production of the SHLAA was drawn from the SHLAA guidance and was supplemented with the advice contained in PPS3, the Yorkshire and Humber Assembly Regional Practice Guide¹³ and the Planning and Advisory Service (PAS) guidance on Strategic Housing Land Availability and Development Plan Document Preparation¹⁴.
- 5.2 The Council already has access to a considerable amount of information relating to potential housing sites within the York area. This includes a range of databases and GIS datasets that contain important information that has helped to assess the deliverability and developability of sites, including site constraints. The following sources of information were used as part of the desktop review of existing information:

Figure 4: Sources of Information

Sites in the Planning process	Purpose	
Site allocations not yet the subject of	To identify sites	
planning permission		
Planning permissions/sites under	To identify sites	
construction		
Site specific development briefs	To identify sites and any constraints to development	
Planning application refusals	To identify sites – particularly those applications	
	rejected on grounds of prematurity but also viability,	
	deliverability or known constraints to development	
Dwelling starts and completion records	To identify the current development progress on sites	
	with planning permission	
Other sources of information used	_	
City of York Council Urban Capacity	To identify buildings and land, and any constraints to	
Study	delivery	
Empty Property Register	There are generally low levels of void within York.	
	Analysis of the Empty Homes Register did not identify	
	any pockets where voids were a particulat problem or	
	identify any potential for clearance	
	programmes/redevelopment opportunities. This will be	
	reviewed on an annual basis in the updates of the	
N.C. III. D.C.	SHLAA.	
National Land Use Database	Much of the information that is contained within the	
	NLUD database derives from other datasets that the	
	Council holds, including the Housing Monitoring	
	Database and Employment Land Availability	
Designation of Countries Dublic Control and	Assessments for example.	
Register of Surplus Public Sector Land To identify buildings and land		
Draft Employment Land Review 2008	This work was undertaken within the same timeframe	

¹³ Understanding Yorkshire and Humber's Strategic Housing Land Availability: Regional Practice Guide, April 2008

¹⁴ Strategic Housing Land Availability Assessment and DPD Preparation. Planning Advisory Service, January 2008.

Strategic Housing Land,, ...sessment: City of York

Desk-based site identification – maps analysed in built-up areas at 1:2500 and other areas at 1:5000 using MapInfo. All areas that appear to have potential for development have been	as the SHLAA by consultants ENTEC and is an assessment of all employment sites within the York area. The findings of the ELR have helped to identify potential surplus employment land that could have the potential to accommodate residential development. It should be noted however that planning applications for residential use on employment sites would still need to be assessed against planning policy that seeks to protect existing employment land. To identify land
Sites identified by City of York Council Officers (Community Services, Property Services)	The Planning Team have worked closely with other Council services, including Property Services to help identify potential sites within the SHLAA.
Alternative housing sites suggested at Local Plan Changes 3 (February 2003) and Changes 4 (April 2005)	All sites that were suggested at either Changes 3 or 4 to the City of York Local Plan have been included in the assessment. This includes the sites proposed for reserved land (2011-2021) in consultation on the proposed Third Set of Changes to the Local Plan in 2003 and the sites put forward for consultation in the Fourth Set of Changes to the Local Plan.
New brownfield sites coming forward e.g. Terry's, York Northwest and Nestle South;	To identify buildings and land, and any constraints to delivery
Sites suggested at the 2006 housing inquiries for Metcalfe Lane and Germany Beck	To identify buildings and land

Strategic Housing Land,,sessment: City of York

STAGE 4: DETERMINING WHICH SITES AND AREAS TO SURVEY

- 6.1 The SHLAA guidance recommends that the SHLAA should identify all settlements where housing could be provided, not just the main urban areas. The Council has surveyed all sites identified through the SHLAA to help determine the sites that have the potential for future housing and to identify possible constraints to development.
- 6.2 The Council has included all sites put forward for residential development through the 'Call for Sites' in the assessment in addition to those identified through other sources highlighted in figure 4. As determined in SHLAA Phase 1 a site threshold of 0.2ha has been used.
- 6.3 In total 226 sites were assessed as part of the SHLAA. As the assessment covers all sites identified within the City of York boundary it does include Greenfield sites, including some that are within the current draft York greenbelt. The SHLAA is intended to inform evidence in the long term and for this reason the guidance suggests that there would need to be a robust reason to exclude Green Belt sites from the assessment. The issues that including Green Belt sites might raise will be resolved through the policymaking process and the Green Belt Status of sites has been included in the assessment criteria as recommended in the Regional Practice Guidance.
- 6.4 The guidance states that 'sufficient sites outside existing settlement boundaries should be included to enable the authority to be as confident as possible that enough developable sites will be identified to meet the housing target, and to provide for the genuine consideration of alternatives, as part of the plan-making process'.
- Greenfield sites are included within the assessment to provide a rounded assessment of all potential sources of supply, with consistent information on deliverability and developability. Strategic choices are more complex than simply filling up urban areas and then looking outside. There is a need to allow for other types of land use, which will often be better, located within existing settlement limits. The best solution may involve bringing forward some greenfield land, e.g. to offer a better range of sites or secure more affordable housing. The objective of maximising brownfield development still continues but is stands alongside the objective of fully meeting housing requirements both of which are very important. The ambition of sustainable development may also dictate that some brownfield sites are reserved for other uses.
- 6.6 The purpose of the SHLAA in relation to the Core Strategy is to provide evidence that sufficient housing land can be provided within the locations indicated by the strategy. Without such evidence it would be difficult to fully demonstrate the deliverability of the strategy. However, in light of the revised PPS12, if allocations DPD is to be prepared, it is not necessary to identify specific sites in the core strategy, but simply to demonstrate that there are sufficient potential sites with sufficient capacity, having

Strategic Housing Land,, ...sessment: City of York

considered reasonable alternative strategies. The allocations DPD will then make the final selection and show in more detail how the allocated housing sites relate to each other, other land uses, infrastructure and transport.

6.7 The Spatial Strategy for York, which will be set by the Core Strategy, will underpin the distribution of future development. The SHLAA is a technical exercise, which forms one component of the evidence base. The SHLAA identifies potential supply and does not seek to make policy decisions on which sites should be developed, nor should it begin to formulate development plan policy in its own right. The inclusion of Greenfield and green belt sites within the overall assessment does not pre-judge the strategic approach that will be decided through the Core Strategy.

STAGE 5: C ARRYING OUT THE SURVEY

- 7.1 It was agreed that a desktop survey would be carried out prior to site visits. Any information that could not be obtained through the information already held was collected through subsequent site visits. For all sites the following information was recorded on to the SHLAA database.
 - Site size in hectares;
 - The site boundary with a polygon digitised on Ordnance Survey Mastermap;
 - The current site use(s);
 - The surrounding land use(s);
 - The character of the surrounding area;
 - Physical characteristics e.g. access and significant features;

Strategic Housing Land,,sessment: City of York

STAGE 6: ESTIMATING THE HOUSING POTENTIAL OF EACH SITE

- 8.1 The guidance states that the estimation of the housing potential of each identified site should be guided by the existing or emerging plan policy, particularly the approach to housing densities at the local level.
- 8.2 The approach to estimating the housing potential of sites assessed through the SHLAA has been based on the emerging approach of the York Core Strategy, which is evidenced, from key documents such as the City of York Strategic Housing Market Assessment (SHMA)¹⁵.
- 8.3 In relation to issues of housing mix and density PPS3 states that:
 - Local authorities should have a stronger influence in promoting mixed communities, and ensure new housing development provides an appropriate mix, type and tenure;
 - Local authorities may wish to set out a range of densities across the plan area rather than one broad density range, although 30 dwellings per hectare (dph) net should be used as a national indicative minimum to guide policy development and decision-making until local density policies are in place.
- 8.4 In addition, RSS advises that York's housing policy should ensure the provision of homes for a mix of households that reflects the needs of the area, including homes for families with children, single persons, and older persons, to create sustainable communities. York is also required to make additional provision to meet the housing needs of Gypsies and Travellers.
- 8.5 The SHMA states that between 2003 and 2006 almost two thirds of the housing development in York was flatted, whereas nearly two thirds of demand is for houses and one in six households contains at least one person with a support need, whether a physical, mental or sensory disability, and these households are much more likely to currently live in unsuitable accommodation.
- 8.6 The Council monitors the number and type of houses approved and built in order to consider how an existing policy approach is working and to influence any new or amended approach to delivery.
- 8.7 Since 2004, 60.2% of completions relates to flats rather than houses¹⁶. Calculations based on unimplemented permissions indicate an indicative mix in the various locations within the authority area:

-

¹⁵ City of York Strategic Housing Market Assessment, City of York Council 2007

¹⁶ Based on Housing Monitoring Mix Calculations



Page 47 Strategic H lability Assessment Site Based Projorma for Suitability

- City Centre¹⁷ 91% flats, 9% houses
- Rest of Main Urban Area 37% flats, 63% houses
- Rural area 10% flats, 90% houses
- 8.8 To deliver housing which helps to meet locally identified need, the SHMA suggests that around 60%¹⁸ of new residential development should be houses rather than flats. The LDF plan period runs from 2004, in line with RSS, therefore to consider what mix of housing we should aim to provide it is important to first consider the mix of housing which is already committed.
- 8.9 Between 2004-2008 some 3387 homes were built in York, and a further 4431 homes were granted planning consent. Figure 5 shows the split of this committed development between flats and houses. Taking into account what has already been allowed for as committed development, we must find suitable land to provide for some 13442 additional homes over the plan period, of which at least 9644 should be houses. This equates to approximately 70% of new housing development.

Figure 5: Committed Development in York 2004-2008

Housing Distribution	Houses	Flats	Houses and Flats
Target 2004-2030	12,756	8,504	21,260
Committed Development 2004-2008	3.112	4,706	7,818
Residual	9,644	3,798	13,442
Proportion of housing to flats of residual figures	70%	30%	100%

- 8.10 Committed development i.e. un-built development over which the council has no further control (e.g. sites with extant planning permissions, part implemented permissions), currently equates to 7818 units in the city. The mix of units in this figure reflects the historic imbalance toward building flats, with only 3112 (40%) being houses and 4706 (60%) flats. This is the result of an un-planned approach to housing mix.
- 8.11 Redressing this committed imbalance over the Core Strategy plan period (to 2030), would require that 70% of all new units are houses and 30% flats across the city. This would equate to 9,644 houses and 3,798 flats
- 8.12 Responses to the two Issues and Options consultations on the York Core Strategy have been analysed and used to inform the emerging Preferred Options, in addition to the LDF evidence base.

-

¹⁷ For the purposes of housing monitoring, the City Centre relates to Micklegate and Guildhall Wards ¹⁸ The 2007 SHMA states 64%, this figure has been rounded as timescales differ, therefore 60% over the longer period of the plan is considered appropriate for this assessment.



Strategic H lability Assessment Site Basea Projorma for Suitability

- 8.13 Delivering a policy, which helps provide the appropriate type and mix of housing to meet York's needs was one of the priority actions for the LDF coming from consultation. This includes addressing the issues of affordability, 'lifetime homes', social inclusion and homelessness, housing for older people and assisted living, family housing, on and off-site student housing and housing for Travellers, Gypsies and Show people.
- 8.14 While no one option was preferred outright, there was support for the intent to include a policy, which could influence the minimum densities in general, locations. Many felt that density levels should be something left to negotiation on a site by site basis, to be informed by conservation area appraisals, village design statements, development briefs or other character statements as they emerge.
- 8.15 Site specific mix and type targets will be established through the Allocations DPD and Area Action Plans, and through negotiations undertaken on a site by site basis, to ensure that proposals for housing development reflect local circumstances and the outcomes of the Housing Market Assessment (2007), and to restrain housing types where concentrations are unduly high.
- 8.16 The Core Strategy will support housing development at density levels, which, as a minimum, support national guidance, reduce overall demand for greenfield land, and help engender community cohesion by making more intensive use of land, which offers the best access to facilities and services.
- 8.17 Given the importance of delivering houses, as opposed to flats in the future, the Council must ensure that a reasonable density figure is set which allows for the delivery of houses in all areas of the city whilst still ensuring an efficient use of land.

Housing Market Areas

- 8.18 For the purposes of the SHLAA the City of York area has been split into zones which best reflect the characteristics of the areas, based on the assessment of housing market sub areas undertaken as part of the SHMA. Map 1 in Appendix 2 shows the zones used. The area has been split into:
 - City Centre (based on the City Centre Inset from the City of York Local Plan and the City Centre Core Conservation Areas);
 - City Centre Extension Zone (including the York Central Site and the area around Foss Islands Road)
 - ➤ **Urban area** (A buffer of a mile from the city centre boundary as used in the SHMA);
 - ➤ Suburban Area (covering the suburban area of York within the ring road (A1237) but including Haxby and Wigginton as used in the SHMA); and



Page 49 Strategic H lability Assessment Site Basea Projorma for Suitability

- ➤ Rural Area (the rest of the villages and rural area within the City of York UA boundary).
- 8.19 Figure 6 shows the housing potential section of the assessment proforma, which has been completed for each site assessed through the SHLAA.

Figure 6: Housing Potential Section of the Assessment Proforma

Estimated Housing Capacity									
Gross site a	rea	•							
Net site area Gross to 0 - 0.4 h 0.41 - 5			na ha	et ratio: = 100% = 80% = 70%					
Estimated h					eri				_
Location	Afford house rate	sing	ho	ordable ousing eshold		Houses to flats ratio:	Ηοι	using type split	Type Applicable
City centre	50	1%		ha / 15 ellings		70:30	100%	6 townhouses	
City centre extension	50	%		ha / 15 ellings		70:30	100%	6 townhouses	
Urban	50	%		ha / 15 ellings		70:30		townhouses/ semi-detached	
Suburban	50	%		ha / 15 ellings		70:30		townhouses / semi-detached detached	
Rural / Village	50	1 %	0.03 ha / 2 dwellings			100:0		townhouses / semi detached detached	
Estimated to	ıtal nun	nher of	dwelli	nas					
Estimated total number of dwellings Housing Type and Mix:			i igo	Р	rivate dwellin	gs	Affordable dwellings	Total	
No of houses Total									
Semi-detacl Townhouse									
	Detached								
No of Flats									

Calculating the Net Site Area

8.20 Firstly a calculation has been made to work out the net area of the site to which the calculation of housing yield should be applied. Following comments from Members on the SHLAA Phase 1 Report regarding the importance of provision of on-site facilities such as open space, transport infrastructure and community facilities the total gross site area in hectares has been 'netted off' to provide a net area to which the density calculation should be applied. This means that a proportion of land has been excluded



Page 50 Strategic H lability Assessment Site Based Projorma for Suitability

- to allow for the provision of on-site facilities and so as not to over estimate housing potential.
- 8.21 The capacity of housing sites will be calculated by subtracting the area required for the provision of facilities and then applying an appropriate net density to the remaining site, based on site size and location. The larger the site the lower will be the gross to net ratio, while their will also be a decrease in density with distance from the city centre and facilities.
- 8.22 A net to gross ratio has been applied to the total gross site area in order to leave a suitable proportion of land on which to provide on-site facilities. Figure 7 shows the gross to net site ratio used.

Figure 7: Gross to Net Site Ratio

Gross Site Area (ha	Gross to Net Ratio
0-0.4 ha	100%
0.41 – 4.99ha	80%
>5ha	70%

- 8.23 This means that for small infill sites 100% of the site area is used to calculate housing potential as these sites would tend to provide off site contributions and would be located in areas with existing provision of open space and other community facilities. For sites between 0.41 of a hectare to 5 hectares the calculation of housing potential has been applied to 80% of the total gross site area and assumes that 20% of the site area would be used for open space provision, transport infrastructure and community facilities. For sites over 5 hectares 30% of the total site area has been netted off for provision of facilities.
- 8.24 This calculation is based on examples of good design such as:
 - ➤ **Derwenthorpe**, which has a total gross site area of 21.7ha and a net site area of 14ha. This equates to 65% of the total gross site area. The net site density is 38.5 dwellings per hectare. The site will provide open space, community facilities and extensive landscaping;
 - ➤ **Germany Beck, which** has a total gross site area of 32.5ha and a net site area of 16.9ha. This equates to 52% of the total gross site area. The overall net density will be 41 dwellings per hectare. The site will provide open space and community facilities including a local shop; and
 - ➤ Tenneco, Manor Lane, which has a total gross site area of 7.4ha and a net site area of 5.4ha. This equates to 72% of the total gross site area. The net density of the site is 51.5 dwellings per hectare. The site includes a health centre, open space and landscaping.

Page 51 Strategic H lability Assessment Site Basea Projorma for Suitability

Estimating Site Density

- 8.25 Once the net site area has been established a density figure has been applied to the site depending on which zone the site falls within. To establish appropriate housing densities for each zone the Strategic Housing Market Assessment has been used and in addition the background work for the emerging Core Strategy.
- 8.26 In order to achieve the overall aim of providing 70% houses and 30% flats across the York area it has been necessary to calculate the density required in each zone for houses and flats which will result in an achievable 70/30 houses to flats ratio.
- 8.27 The density for houses has been based on the emerging Core Strategy and background work on design and appropriate density standards undertaken as part of SHLAA Phase 1. Figure 8 sets out the density standards used for houses in the SHLAA assessment.

Figure 8: Density standards for houses

Sub-Market	Density (dwellings per hectare)	Example
City Centre	75 dph	Bedern, behind Goodramgate
City Centre Extension Zone	75dph	Bedern, behind Goodramgate
Urban	50dph	White Cross Lodge, Haxby Road
Suburban	40 dph	Derwenthorpe, Germany Beck
Rural	30dph	Minimum PPS3 density standard

8.28 The density for flats has been based on an analysis of past trends in each of the zones. Figure 9 sets out the density standards for flats used in the SHLAA. Table 1 in Appendix 3 shows the full set of examples for each of the zones.

Figure 9: Density Standards for Flats

Sub-Market	Density (dwellings per hectare)	Example
City Centre	200 dph	Dixon's Yard
		39 Walmgate
City Centre	120 dph	Lawrence Street
Extension Zone		Layerthorpe WMC
Urban	100 dph	Moss Street Depot
		DC Cooke, Lawrence Street
Suburban	80 dph	Southlands, Huntington Road
		103 Boroughbridge Rd
Rural/Village	N/A (100% houses)	



Page 52 Strategic H lability Assessment Site Based Projorma for Suitability

- 8.29 To achieve an indicative housing mix of 70% houses and 30% flats on each site (except the rural zone 100% houses) a composite density standard has been calculated which is based on 70% houses at the appropriate density standard shown in figure 8 and 30% flats at the appropriate density standard shown in figure 9.
- 8.30 By calculating out an assumed site of 100 units (70 units being houses and 30 units being flats) it is possible to calculate the amount of land that 100 units would require. For example in the City Centre zone we have calculated 70 units being houses at a density of 75 dwellings per hectare and 30 units being flats at 200 dwellings per hectare. This means that 100 units would require a land take of 1.08 hectares. By dividing 100units by 1.08ha we get a density figure of 92.59 dwellings per hectare for a city centre site. The calculations for each zone are shown below:

City Centre

70% housing = 70 units @ 75dph = 0.93ha 30% flats = 30 units @ 200dph = 0.15ha

Therefore 100 units = 1.08ha

1 ha of land = (100/1.08) = **92.59 dwellings per hectare**

City Centre Extension Zone 70% housing = 70 units @ 75dph = 0.93ha

30% flats = 30 units @ 120 dph = 0.25ha

Therefore 100 units = 1.18ha

1ha of land = (100/1.18) =

84.74 dwellings per hectare

Urban Area

70% housing = 70 units @ 50dph = 1.4ha

30% flats = 30 units @ 100dph = 0.3ha

Therefore 100 units = 1.7ha

1ha of land = (100/1.7) =

58.82 dwellings per hectare

Suburban Area70% housing = 70 units @ 40dph = 1.75ha

30% flats = 30 units @ 80dph = 0.375ha

Therefore 100 units = 2.125ha

1ha of land = (100/2.125) =

47.05 dwellings per hectare

Rural/Villages

100% housing = 100 units @ 30dph = 3ha

Therefore 100 units = 3ha

1 ha of land = (100/3.00) =

30 dwellings per hectare

8.31 By calculating the net site area for each site and then applying the density figure to achieve the appropriate housing/flat mix we then have a total estimated number of dwellings for each site. For example for Site 276 (Nestle South):



Page 53 Strategic H lability Assessment Site Based Projorma for Suitability

Nestle South (Site 276)

Gross site area: 7.82ha Net Site Area: 5.47ha

Density (CC Extension Zone*): 84.74dph Total estimated Yield – 464 dwellings 325 houses (70%) and 139 flats (30%)

Estimating the Affordable Housing Potential of each site

- 8.32 Improving the quality and availability of decent affordable homes in York is one of the Council's Corporate Priorities over the next four years. Currently, the actual need for affordable housing in the city outstrips the total supply coming forward each year. In effect there is technical justification for 100% affordable housing, although this is clearly not an economically viable option.
- 8.33 PPS3 provides the following definition of Affordable Housing: 'Affordable housing includes social rented and intermediate housing, provided to specified eligible households whose needs are not met by the market.
- 8.34 RSS advises giving early priority to providing more affordable housing through the planning system, drawing evidence from Strategic Housing Market Assessments (SHMA 2007) and other more detailed local assessments. To enable the North Yorkshire sub-region to maximize opportunities for the provision of affordable housing and address need, the RSS policy H4 provisionally estimates that 40% of new housing in York may need to be affordable.
- 8.35 York is a high demand area for housing, partly as a result of its proximity to Leeds and largely because it is an attractive place to live. High demand areas typically see significant levels of in-migration, as well as the demand created by newly forming households and existing households needing to move within the city.
- 8.36 Recent research (SHMA 2007) shows that (based on the last three years moves) in-migrants are typically more wealthy than existing households in York and considerably more affluent then newly forming households in the City. The Local Authority cannot control who purchases a property on the open market and therefore, in high demand areas, households on lower incomes are priced out of the market as house prices outstrip incomes.
- 8.37 The Council currently seeks to meet affordable housing need both directly, through its role as a social housing landlord of over 8,000 homes, and also in an 'enabling' role through policies and initiatives that ensure additional

^{*}The site is located in the suburban zone but an element of the scheme will be the re-use and conversion of existing factory buildings so the density is likely to be higher than the typical suburban figure. The Development Brief states that the site could be suitable for relatively high density houses but that very effort should be made to provide an appropriate mix of house types and sizes in accordance with the SHMA.



Page 54 Strategic H lability Assessment Site Based Projorma for Suitability

affordable homes are provided by other means. The use of planning policy is especially important in this respect; providing new affordable homes through securing on-site provision, as well as some off-site or financial contributions, through negotiations on individual planning applications.

- 8.38 The current 50% affordable housing policy in York was approved by elected members in April 2005 and backed up by a Housing Needs Survey (carried out in accordance with government guidance), and was first undertaken in 2002 and then updated in 2006. The City Council's planning policy on affordable housing has provided a clear and consistent policy framework for the city which has, to date, secured over 1,500 new affordable homes (completions and outstanding permissions) for local families, couples and single people since the policy has been operating. The Government Office has cited it for Yorkshire & The Humber as an exemplar of good practice.
- 8.39 Evidence of sites coming forward since the SHMA supported the 50% policy demonstrates that the provision of 50% affordable housing on site is achievable, especially on unconstrained greenfield sites. However, it is acknowledged that complex brownfield sites have very high on-site costs and may not always be able to realise 50% affordable housing. There may be contaminated sites, for example, with very high clear up costs, which could not have been fully identified at the time of land acquisition. The existing Local plan Policy H2A is a maximum target and officers have been consistent but flexible in negotiations where developers demonstrated that abnormal site costs mean that this figure cannot be fully met for reasons of site viability. Thresholds and levels set out in the policy are currently being tested through a viability assessment, to establish whether they are viable for green and brownfield sites.
- 8.40 The economic downturn has led to a slowing down in housing applications coming forward and, in some cases, construction on sites has stopped. Since the majority of new affordable housing in York is currently secured as part of private developments, this has inevitably led to a slowing up of affordable housing coming forward.
- 8.41 Whilst the policy, and subsequent negotiations, have been consistently applied there has been concern from the development industry that the 50% target is too challenging on medium size sites, and that the threshold above which the policy applies means that house builders will look to build on small sites (i.e. below the threshold of 15 homes) as a first priority.
- 8.42 These concerns have been acknowledged and, in June 2008, meetings were held with individual house builders and planning consultants in order to further pursue the representations. This was reinforced with a workshop later in the year, which involved key housing stakeholders, and aimed to understand concerns and explore initiatives to provide more affordable housing in the city.

Strategic H lability Assessment Site Based Proforma for Suitability

- 8.43 As part of work on the emerging Core Strategy officers are developing an appropriate affordable housing policy based on evidence from the SHMA and the recent consultation events with key housing stakeholders.
- 8.44 For the purposes of the SHLAA potential affordable housing contributions of sites have been based on the current policy (H2a). This policy seeks 50% affordable housing on sites above 0.03ha/15 dwellings in the urban area and sites above 0.03ha/2 dwellings in rural areas. As the Core Strategy emerges the SHLAA will incorporate any revisions to the affordable housing policy and these will be presented in the annual review of the SHLAA through the Annual Monitoring Report (AMR).
- 8.45 It is recognised that 50% is not necessarily achievable on all sites, particularly on brownfield sites, which may have high on-site costs, but for the purposes of this assessment the affordable housing estimates are there as an indicative guide as to what level of affordable housing may be achievable through identified sites. The figures represent the optimum policy level of achieving 50% affordable housing.

Estimating Housing Types

- 8.46 As detailed earlier in the report the indicative housing mix has been based on achieving 70% houses and 30% flats on all sites except for rural sites where 100% housing would be expected. The total number of houses has then been broken down further into an estimate of the number of detached, semi-detached and townhouses expected in each zone. This has been done in order to be able to analyse the viability of the sites and does not represent what will be achieved on a site. The viability analysis is explained later in this report. Please refer to section 7(C).
- 8.47 Figure 10 shows the indicative split of house types that has been applied to the sites according to which they zone they fall in. It is important to note that this is an indicative mix based on past trends and is used for the purpose of the SHLAA as a guide for working out the viability of sites. It does not represent what will necessarily be achieved on the sites if they are to be developed. Site specific standards will be developed through the Allocations DPD in order to address York's locally identified housing needs, guided by the Strategic Housing Market Assessment.

Figure 10: Housing Types

Sub-Market	House Type	
City Centre	100% townhouses	
City Centre Extension Zone	100% townhouses	
Urban	85% townhouses	
	15% semi-detached	
Suburban	33% townhouses	
	33% semi-detached	
	34% detached	
Rural/Villages	25% townhouses	
	25% semi-detached	
	50% detached	



Strategic H lability Assessment Site Basea Proforma for Suitability

STAGE 7: Assessing when and whether sites are likely to be developed

9.1 Assessing the suitability, availability and achievability of a site provides the information on which the judgement can be made in the plan-making context (through the Allocations DPD) as to whether a site can be considered deliverable, developable or not currently developable for housing development.

9.2 To be considered:

- ➤ Deliverable a site is available now, offers a suitable location for housing development now and there is a reasonable prospect that housing will be delivered on the site within 5 years from the date of adoption of the plan¹⁹; and
- ➤ Developable a site should be in a suitable location for housing development, and there should be a reasonable prospect that it will be available for and could be developed at a specific point in time²⁰.
- 9.3 Where it is unknown when a site could be developed, then it should be regarded as not currently developable. This may be for example, because on of the constraints to development is sever, and it is not known when it might be overcome.
- 9.4 In practice the considerations to be taken into account when deciding whether a site is deliverable, developable or not currently developable, will be the same. It is the *degree* of availability and achievability, and, in particular, when any known constraints can realistically be overcome. The guidance states that the assessment of deliverability / developability of specific sites should be made irrespective of the level of housing provision that is actually needed over the plan period.

Stage 7a: Assessing suitability for housing

9.5 Each site has been assessed against a number of criteria indicating suitability for development. The criteria are not placed in order of importance and no greater weight is attached to any one compared with any others. The only exception to this is that the assessment of primary constraints always occurred first, in order to eliminate the land that was environmentally not suitable for development no matter what the remaining criteria indicated.

¹⁹ See Paragraph 54, PPS3

²⁰ See Paragraph 56, PPS3



Page 57 Strategic H lability Assessment Site Basea Projorma for Suitability

Introduction to Directions for Suitability Assessment

- 9.6 Each of the sites has been assessed using a suitability assessment proforma. Diagram 1 shows a blank example of the proforma.
- 9.7 Text in the 'Comments' column for each criterion consists of the facts gathered from GIS mapping, site survey and consultation work.
- 9.8 Positive opportunities (as opposed to constraints) that development might offer are shown in <u>underlined type</u>. This may cover a wide spectrum of factors ranging from minor advantages to compelling reasons for development.
- 9.9 All other text that is not underlined signifies potential constraints or facts affecting development whose impacts are harder to measure or whose impacts are neutral or currently unknown.
- 9.10 It is important to state that the policy restrictions and physical and environmental conditions were not applied in an absolute way. These criteria have been examined at the holistic level, considered alongside current use, surrounding uses and possible mitigation measures that could be employed to deal with any constraints. The consideration of all these factors will together lead to a determination of suitability or otherwise.
- 9.11 Each site was assessed on the basis of whether it was suitable, had the potential to be suitable with appropriate mitigation or whether it was unsuitable. Some examples of potential mitigation measures include the remediation of contaminated land or the provision of a compensatory area of open space.
- 9.12 A simple 'traffic-light' system then colour codes the assessment for each criterion, balancing all considerations in the text to its right. Red indicates the presence of immovable, absolute constraints or circumstance that would render development less suitable or viable, even if other positive criteria may exist. Amber indicates constraints or circumstances that may need to be overcome (ranging from the easily overcome to the more difficult) before development becomes suitable or viable. Green indicates that on this criterion, the site is suitable for development. Inevitably, in all locations, some constraints are present, and it is important to note that a green assessment does not indicate a total lack of constraints; rather, fewer, or less serious constraints that an amber assessment would indicate.
- 9.13 The relativity of all traffic-light judgements also means that a **red** assessment does not necessarily mean 'no development under any circumstances whatsoever' in any location. As so many different constraints to development exist an absolute assessment would result in almost every criterion receiving an amber assessment, which would reduce



Page 58 Strategic H lability Assessment Site Based Projorma for Suitability

significantly the value of the study as a tool to aid in the difficult decisions needed on the suitability of sites for development.

Diagram 1: Example of Site Suitability Proforma

Site Suitability				
Criteria	Considerations			
Primary Constraints		Green		
Location Suitability		Amber		
Transport and Accessibility		Amber		
Geo Environmental		Amber		
Considerations		Ambei		
Strategic Policies		Red		
Comments: .				
Recommendation:				

9.14 An overall assessment and recommendation covering the suitability or otherwise of each site then summarises and reviews the opportunities and constraints presented under each of the sub-headings, culminating in a single-line recommendation on the suitability or otherwise of the site for future housing development.

Criterion 1 – Primary Constraints

9.15 When assessing site suitability the first indication of land suitable for housing came from analysis of immovable environmental constraints or protective designations on the land covered. This first phase of assessment relied principally on GIS mapping and had the effect of 'sieving' out those areas where development would be inappropriate. Three primary constraints were assessed: Flood risk; Statutory and Non-Statutory environmental designations and Preserving the Historic Character and Setting of York.

Minimising Flood Risk

- 9.16 PPS25: Development and Flood Risk sets out a sequential approach to allocating sites as part of development plan policy based in the evidence of Strategic Flood Risk Assessments. PPS25 grades land into four zones, Zone 1 (low probability of flooding), Zone 2 (medium probability of flooding), Zone 3a (high probability of fluvial flooding) and Zone 3b (Functional floodplain). PPS25 presents a presumption against housing development in Flood Risk Zone 3b and the SHLAA Regional Practice Guidance suggests that 'it is therefore reasonable for most authorities in the region to consider Flood Risk Zone 3b as part of the suitability test'.
- 9.17 Flooding is a key planning local planning issue for York. As part of the background work on the LDF the Council, working closely with the



Strategic Handley Land Assessment Site Based Proforma for Suitability

Environment Agency, has produced a Strategic Flood Risk Assessment. This work identifies those areas of York that are susceptible to the highest level of flood risk from river flooding (higher than 1:100 year probability). When considering the potential influence of flooding on the location of development it is important to recognise the likely impacts of global warming and climate change, therefore sites falling into Zone 3b (functional floodplain) are considered unsuitable for housing development. Map 1 in appendix 4 illustrates the extent of flood zone 3b.

Statutory and Non-statutory environmental designations – Protecting and Enhancing York's Green Infrastructure

- 9.18 Protection of areas with nature conservation value is viewed as a key element in ensuring sustainable development. Government Guidance (PPS9) states that plans should seek to promote sustainable development by ensuring that biological and geological diversity are conserved as an integral part of social, environmental and economic development.
- 9.19 The most recent national planning guidance on development in rural areas (PPS7) indicates that 'rigid local [environmental] designations...may unduly restrict acceptable sustainable development' and that it is therefore preferable to replace them with criteria based policies in Local Development Documents (LDDs) instead²¹.
- 9.20 According to PPS7, non-statutory local designations should not be regarded as absolute constraints to development. However, initial assessment of the location of all land protected by such designations revealed, in the judgment of officers, that sufficient land exists within the City of York area not covered by such designations to accommodate the likely quantum of development that is required. For this reason nationally and locally significant nature conservation sites and land within regional level green corridors are considered unsuitable for future housing development. Map 2 in appendix 4 shows the areas of land excluded.
- 9.21 Should it prove to be the case at a later stage that insufficient undesignated land is available for the quantum of development required (and/or a local designation is 'unduly restricting acceptable sustainable development' in a particular location) a judgment will be required as to where designated land may need to be developed. Such an approach is bolstered further by the fact that at this relatively early stage in the process of producing LDF's, the criteria-based policies in LDDs referred to by PPS7 that will eventually replace map-based designations are still under development.

32

²¹ Planning Policy Statement 7: Sustainable Development in Rural Areas, Office of the Deputy Prime Minister, 2004 (Paragraph 24).



Strategic Harman Land Assessment Site Based Proforma for Suitability

Preserving the Historic Character and Setting of York

- 9.22 'The Approach to the Green Belt Appraisal' (2003) study carried out by the Council indicated that regardless of the extent to which the City may have to identify further land to meet its development requirements and needs, there are areas of land outside the built up area that should be retained as open land due to their role in preserving the historic character and setting of York. The areas of land considered to serve this purpose are illustrated in Map 3 in Appendix 4.
- 9.23 The Approach to the Green Belt Appraisal (2003) also indicated that there are areas of land outside the built up areas that should be retained as open land as they prevent communities from merging into one another and the city. These areas were considered to have a key role in preserving the identity of the settlements and villages around York. The relationship of York to its surrounding settlements is a key element of the City's character. This relationship is not simply about the distance between the settlements but also there size.
- 9.24 For the SHLAA all sites falling within these important areas have been excluded from further assessment and they are not considered to be suitable for housing development.
- 9.25 Figure 11 shows the sites that were excluded from further assessment due to failing one or more of the primary constraints. The boundaries of sites partially located in the primary constraint areas were amended to remove the constraint element to ensure that any suitable sites were not missed if the remainder of the site was above the site threshold of 0.2 hectares. The site assessment proformas complete with map for each site can be found in Appendix 5.

Figure 11: Sites failing Primary Constraints

Site ID	Site Name	Reason for failing primary constraint
2	Manor Farm Towthorpe Haxby	Green Belt Appraisal Area
24	Land at Stockton Lane	Green Belt Appraisal Area
32	Land at Murton Way	Flood Zone 3b
33	Acaster Lane Bishopthorpe	Flood Zone 3b Nature Conservation Site Green Belt Appraisal Area
34	Land at Church Lane Bishopthorpe	Flood Zone 3b Green Belt Appraisal Area
35	Common Road and South East of Dunnington	Flood Zone 3b
41	Land at Millfield Lane and Farm	Flood Zone 3b Green Belt Appraisal Area



Strategic Housing Land, and lability Assessment Site Based Proforma for Suitability

58	Rear of Netherwoods and the Village Strensall	Flood Zone 3b
61	Land off Stockton Lane	Green Belt Appraisal Area
63	North of Vicarage Lane South of Prospect farmhouse	Green Belt Appraisal Area
64	South of Vicarage Lane Naburn	Green Belt Appraisal Area
65	Rear of Garden bordering Maypole Grove	Green Belt Appraisal Area
66	land Off Main street Elvington	Green Belt Appraisal Area
74	Opposite Designer Outlet Naburn	Green Belt Appraisal Area
75	Severus Hill, Acomb Water Tower	Nature Conservation Site
78	St Johns Playing Field	Flood Zone 3b
80	Westfield Lane Wiggington	Green Belt Appraisal Area
83	North and South of Copmanthorpe	Green Belt Appraisal Area
94	land between 536 York Road and Farriers Chase	Green Belt Appraisal Area
95	The Old School Playing Fields Haxby Road	Green Belt Appraisal Area
97	St Barnabas CE Primary School, Bright Street	Green Belt Appraisal Area
100	Yorkfield Lane Copmanthorpe	Green Belt Appraisal Area
105	Manor Close	Green Belt Appraisal Area
107	Melrosegate Field Melrosegate	Flood Zone 3b
122	Rosedale, Clifton Park	Green Belt Appraisal Area
145	Former nursery opp Wyedale Garden Centre, A59	Green Belt Appraisal Area
146	Redundant nursery, Field Lane, Heslington	Green Belt Appraisal Area
160	Land North of Windsor Drive, Wigginton	Green Belt Appraisal Area
161	Opposite the former Cattle Breeding Centre Stockton-on-the-forest	Green Belt Appraisal Area
163	Land to the east of York Road, Naburn	Green Belt Appraisal Area
167	Land West of Shipton Road, Skelton	Green Belt Appraisal Area
172	Millfield Farm and Land (C)	Flood Zone 3b Green Belt Appraisal Area
172	Millfield Farm and Land (B)	Flood Zone 3b Green Belt Appraisal Area
173	Bustardthorpe Allotments	Green Belt Appraisal Area
174a	Land alongside A64/Sim Balk Lane	Green Belt Appraisal Area
174b	Land alongside A64/Sim Balk Lane	Green Belt Appraisal Area



Strategic Handle Land Land Assessment Site Based Proforma for Suitability

182	Land at Murton	Green Belt Appraisal Area
183	Land at Parkers Pig Farm	
		Green Belt Appraisal Area
186	York Riding School	Green Belt Appraisal Area
189	Acomb Wood drive, adj. to Acomb Shops and wood	Nature Conservation Site
224	Land RO Terrington Close / Jaywick Close	Nature Conservation Site
229	RO The Lodge, Sandy Lane	Green Belt Appraisal Area
230	Conservation Area (alternative land at changes 3)	Nature Conservation Site
236	Land between Fulford Ings / Bowling Green off St Oswald's Ro	Flood Zone 3b
270	Land RO properties on Lindon Close / Drakes	Flood Zone 3b
286	Fox & Hounds 39 Top Lane Copmanthorpe	Green Belt Appraisal Area
46	Farfield Farm/Skelton Nurseries	Green Belt Appraisal Area and Flood Zone 3b
47	Either side of Haxby Road, A1237	Green Belt Appraisal Area
191	Naburn Marina	Green Belt Appraisal Area

Criterion 2 – Location Suitability

Location

- 9.26 The location of the site has been recorded and put into one of the following categories:
 - i) Within the urban area of York
 - ii) Within a Local Service Centre (Haxby & Wigginton, Strensall / Towthorpe and Upper and Nether Poppleton
 - iii) Within a village (Bishopthorpe, Copmanthorpe, Skelton, Dunnington)
 - iv) Within a small village (those villages and settlements not identified in i), ii) or iii)
 - v) On the edge/ adjacent to the York Urban Area
 - vi) On the edge / adjacent to a Local Service Centre
 - vii) On the edge / adjacent to a village
 - viii) Not located within a settlement.

Brownfield or Greenfield

9.27 The type of land has been recorded as brownfield, greenfield or mixed.



Strategic Handle Land Assessment Site Based Proforma for Suitability

Flood Risk

9.28 The flood risk zone has been recorded for each site from flood zone 1 (low risk of flooding) to Zone 3a (high probability of flooding). Sites falling within flood zone 3b (functional floodplain) have already been excluded as unsuitable for housing development under criterion 1. Sites that are recorded as Greenfield **and** fall within flood zone 3a (high probability of flooding) will automatically be given 'Red' for location suitability and would be given a recommendation of 'unsuitable for housing development'. In addition, Greenfield sites within flood zone 3a are considered unsuitable for housing development.

Criterion 3 - Transport and Accessibility

9.29 The transport and accessibility assessment has looked at the accessibility of sites to existing local services; access to frequent public transport routes; access to existing/proposed cycle routes, access issues and an initial comment from CYC Highways officers.

Accessibility to Services

- 9.30 The government's principles for sustainable communities are that they should be based on good access to local services. Development should be located where everyone can access key services through walking, cycling or public transport (PPS1). Policy YH6 of the RSS states that in order to create attractive and vibrant places and communities, plans should seek to retain and improve local services and facilities, particularly in Local Service Centres.
- 9.31 As part of the SHLAA we considered the characteristics that make an 'ideal neighbourhood', based on the RSS Sustainable Settlement Study (2004) and work produced to support the Urban Task Force's 'Towards an Urban Renaissance' Report. One key characteristic of an 'ideal neighbourhood' is identified as access to local services. Access to services mapping has identified the following as key services: a primary school; health centre/GP surgery and a local food shop to meet day-to-day needs.
- 9.32 Accessibility to these key services has been assessed using GIS mapping. Based on the RSS accessibility criteria distance to services has been measured based on a five-minute walk (400m) and 10-minute walk (800m). The GIS measures accessibility from any part of the site.
- 9.33 Where a large site has been suggested an assessment has been made as to whether the site could provide new on-site community facilities, which would mitigate against an existing lack of access. Where this is the case a comment has been made on the proforma. For all sites over 5 hectares



Strategic Handle Land Assessment Site Based Proforma for Suitability

30% of the gross site area has been excluded for the provision of on-site facilities and open space as detailed in section 6.

Accessibility to Public Transport

- 9.34 The location of housing, employment, retail and leisure and key services within an area have a significant impact on the number and type of journeys made. Careful consideration of the location of development and mix of uses within it can significantly reduce the need to travel. This is achieved by encouraging those people that have to travel to do so more sustainably by being located close to public transport routes; and by ensuring that the day to day services that people need are within walking distance of their homes.
- 9.35 Sites have been assessed on their accessibility to frequent bus routes / park and Ride (offering a 15 min frequency service) within a 5 minute walk (400m) and 10 minute walk (800m); accessibility to a less frequent bus route (less than 15 minute frequency) within a 5 minute and 10 minute walk; accessibility to an existing or proposed rail station/rail halt within a 5 minute walk and accessibility to an existing or proposed cycle route within 100m.
- 9.36 Accessibility to transport/cycling routes has been assessed using GIS mapping and is measured from any part of the site.

Highways Comments

- 9.37 Due to the significant number of sites assessed at this stage and following discussion with CYC Highways officers it was decided that as part of the SHLAA assessment only brief initial comments would be given at this stage. Further more detailed highways analysis would take place as part of work on the Allocations DPD where more detailed site-specific work will be undertaken.
- 9.38 Individual highways comments have been given for all sites within the existing urban area of York. For all other sites a general comment has been made by Highways officers that a 'transport assessment/statement is required'.

Criterion 4 – Geo-environmental Considerations

9.39 In most cases the geoenvironmental constraints noted are not absolute, and regulatory systems are in place to cover those that emerge. However, these constraints have the potential to increase development cost and lead-time and therefore are relevant to the SHLAA.



Strategic Handle Land Land Assessment Site Based Proforma for Suitability

Contamination Issues

9.40 Officers from the CYC Environmental Protection Unit (EPU) have reviewed the sites for potential sources of contamination and have made individual comments on the sites, which have been added to the proforma. Sites have been assessed in terms of low, medium and high risks of contamination and appropriate mitigation measures suggested.

Air Quality Issues

9.41 Officers from the CYC Environmental Protection Unit have reviewed the sites for potential air quality issues and have provided comments, which have been recorded on the site proforma.

Noise and Vibration Issues

9.42 Officers from the CYC Environmental Protection Unit have reviewed the sites for potential noise and vibration issues and have provided comments, which have been recorded on the site proforma.

Drainage Issues

9.43 CYC drainage officers have been consulted as part of the SHLAA and their advice was to provide general comments at this stage as more detailed work would be required as part of the Allocations DPD.

Agricultural Land

9.44 The quality of agricultural land has been recorded if applicable to the site using GIS mapping. The adverse implications of losing Grade 1 and 2 agricultural land are recognized by PPS7, which states that the loss of agricultural land should be taken into account as a development consideration but notes that in some cases, development of Grade 1 or Grade 2 agricultural land may be unavoidable, where building elsewhere 'would be inconsistent with other sustainability considerations'. PPS7 concludes that 'it is for Local Planning Authorities to decide whether best and most versatile agricultural land can be developed, having carefully weighed the options in the light of competent advice²². Agricultural land quality should therefore not be regarded as an absolute constraint to development and has been recorded on the proforma for information purposes to be weighed up with the other suitability considerations.

Overhead Power Lines/Pylons/Electricity Sub-Stations

9.45 As part of the site survey carried out for the SHLAA sites the presence of overhead power lines/pylons and/or electricity sub-stations within or

-

²² PPS7, paragraphs 28-29.



Strategic Housing Land Assessment Site Based Proforma for Suitability

adjacent to the site was recorded and has been included on the proforma. In most cases this constraint would not be absolute but would be likely to increase development costs and lead time so is relevant as part of the suitability assessment.

Criterion 5 – Strategic Policies

9.46 The following issues have been considered as part of the Strategic Policies section:

Green Belt

- 9.47 It is the role of the LDF Core Strategy to determine the approach to York's Green Belt including both its role and lifespan. The LDF will create a permanent green belt for York that preserves its special character and setting, whilst ensuring sustainable development; and that the green belt boundaries once set will endure until at least 2030.
- 9.48 Green Belt is a strongly defined policy tool and one, which attracts significant interest from stakeholder and the public. The SHLAA is intended to inform evidence in the long-term and both the CLG guidance and the Regional Practice Guide on undertaking SHLAA's state that for this reason there would need to be a robust reason to exclude Green Belt Sites from the Assessment. The issues that including Green Belt sites might subsequently raise can then be resolved through the policy-making process and Green Belt status will be useful to include in the assessment criteria.
- 9.49 As the Core Strategy is still emerging the Green Belt Boundaries for York are as yet not set. For the purposes of the SHLAA sites that fall within the areas of land identified as being important in preserving the City's historic Character and Setting, as identified in the 'Approach to The Green Belt Appraisal' (2003), have been excluded from further assessment as detailed in Criterion 1.
- 9.50 All sites falling within the other areas of the Draft York Greenbelt have been noted on the proforma but have not been excluded from further assessment. If a site is considered suitable for housing overall but is within the greenbelt the recommendation:
 - 'This site is potentially **suitable** for housing development. However, this site is located within the draft greenbelt and would therefore only come forward in line with the emerging core strategy and following a detailed evaluation of the greenbelt value'

has been noted on the proforma and all sites within the draft green belt that are potentially suitable for housing have been grouped together in terms of their availability. The sites may need to be assessed further for their



Strategic Handle Land Land Assessment Site Based Proforma for Suitability

suitability as part of the Allocations DPD where detailed green belt boundaries will be set.

Open Space

- 9.51 The Council has a duty to protect and enhance both the natural environment of York and its open space. All nature conservation sites, including Sites of Specific Scientific Interest (SSSI's), Sites of Importance for Nature Conservation (SINCS) and Local Nature Reserves (LNR) have been identified on the GIS mapping system and any sites that falls wholly within one of these areas has been excluded from further assessment as detailed under criterion 1 (Primary Constraints).
- 9.52 Through the LDF the Council will consider the quality, quantity and accessibility of open space (sport, recreation and children's play facilities) across the City, in order to assess the existing and future needs of the residents of York. The aim is to enhance the provision of new open space, improve the quality of existing open space and to ensure that all residents have access to an appropriate range of recreational open space and sports facilities in order to promote healthy lifestyles.
- 9.53 Government guidance on open space, sport and recreation (PPG17) states that local authorities should undertake robust assessments of the existing and future needs of their communities for open space, sport and recreational facilities. RSS also recognizes the importance of open space, sport and recreation and the role it plays in helping to improve the health of residents and policy YH8 (Green Infrastructure) seeks to protect open space and playing fields, particularly in urban, urban fringe and adjacent countryside areas, through defining a hierarchy of green infrastructure.
- 9.54 The Council has recently published, as part of the LDF evidence base, an 'Open Space, Sport and Recreation Study' produced by consultants PMP. A city-wide consultation gave a picture of overall local need in York and a site survey identified the total supply of sites. These sites were then assessed for their accessibility, quality and wider benefits including their biodiversity value.
- 9.55 For the SHLAA, sites put forward for development, which are identified as open space within the Open Space Study, have been identified as existing open space on the proforma. Although this is not considered an absolute constraint to redevelopment the Council will seek to protect open space in York especially in areas where a deficiency has been identified.
- 9.56 In addition to identifying sites, which are, identified open space an assessment has been made as to the sites accessibility to types of open space within PPG17 acceptable distances. This includes city parks, local parks, natural/semi-natural green space, outdoor sports facilities, amenity green space, children's open space, young peoples facilities and allotments.



Strategic Handle Land Assessment Site Based Proforma for Suitability

Employment Land Review

9.57 The findings of the Draft Employment Land Review (ELR) has helped to identify sites that are potentially no longer suitable for employment use or may have longer –term redevelopment opportunities, which may involve an element of residential use. Sites which have been short listed in the Draft ELR as being the most suitable sites for employment use have been included in the assessment if they were submitted as part of the Call for Sites exercise but the findings of the ELR have been used to make a judgement on the sites suitability for housing development.

Special Historic and Built Environment

- 9.58 York's historic heritage is amongst the richest in England, and is a significant asset and resource for the city. Government guidance (PPS1) asserts that high quality and inclusive design means ensuring that a place will function well and add to the overall character and quality of the area, not just for the short term, but over the lifetime of the development. It states that good design is indivisible from good planning, and should respond to its local context and create or reinforce local distinctiveness, and advises that design which fails to take the opportunities available for improving the character and quality of an area should not be accepted.
- 9.29 In preparing development plans, Government guidance (PPS1) also advises that we should seek to enhance as well as protect the historic environment, landscape and townscape character.
- 9.60 Statutory protection for, and guidance on, the historic environment is provided through the Planning (Listed Buildings and Conservation Areas) Act 1990, Ancient Monuments and Archaeological Areas Act 1979, and government guidance (PPG15 and PPG16)²³. The guidance requires that the Council puts in place policies to preserve and enhance the historic environment, including policies for protection, enhancement and preservation of sites of archaeological interest It also provides the scope for the Council to designate Conservation Areas. Government has announced a program to update PPG15 and 16, with work to begin in 2009.
- 9.61 In recognition of the city centre's sensitivity to change, the council is preparing an Area Action Plan (AAP). This will provide a vision and a series of detailed policies to help manage York's city centre. At Issues and Options stage it considered options for ensuring successful management of the Central Historic Core Conservation Area, good design and an improved public realm. The aim is to retain York's unique and special character, to put in place a robust framework for ensuring the highest

²³ Listed buildings, archaeological deposits, Conservation Areas, historic parks and gardens or battlefields.



Strategic Handley Land Assessment Site Based Proforma for Suitability

quality of design, and have world class interpretation of York's unique history and archaeology. York's unique character and form stretches beyond its central core, resulting from change and development throughout many centuries.

9.62 Significant work to understand landscape and townscape character has already been undertaken and is being used to inform the Core Strategy and the City Centre Area Action Plan. For the purposes of the SHLAA an assessment has been made of a sites proximity to listed buildings, Scheduled Ancient Monuments, Historic Parks and Gardens, Conservation Areas and Areas of Archaeological Importance. The general principle has been that, if sensitively designed, it is possible to accommodate development in proximity to such locations however issues will need to be dealt with at the site-specific level and at this stage in the process heritage designations have been recorded as possible constraints that would require more detailed assessment and liaison with the relevant CYC officers.

Initial Site Filtering

- 9.63 Following the assessment of suitability, all sites deemed unsuitable were removed from the study. Sites were removed if they had been developed and had no remaining capacity, they had greater potential for other uses e.g. as valuable employment land or a substantial part of a greenfield site was located within flood zone 3a. The boundaries of sites partially located in Flood Zone 3a were amended to remove the flood zone 3 element to ensure that any suitable sites were not missed. Other factors such as open space designations and poor access were considered and where mitigation measures were possible, they were considered to be suitable.
- 9.64 Regional Practice Guidance on SHLAA indicates that it is 'reasonable for outright 'unsuitable', 'unavailable', or 'unachievable' sites to be held in abeyance (not considered as 'on the conveyor') for the purposes of the outcome of a particular round of assessment²⁴'.
- 9.65 However, to 'delete' sites from the database is to create unnecessary work re-entering sites for future assessments, which are likely to be subject to, updated deliverability tests. The information gathered through the assessment will help inform future decisions on interventions, which could address particularly suitable sites, which are currently deemed unavailable or unsuitable.
- 9.66 Figure 12 lists all sites removed from the SHLAA as being unsuitable. Appendix 6 includes full site proformas and maps for these sites.

²⁴ Paragraph 6.8.1 Undertaking Yorkshire and Humber's Strategic Housing Land Availability Regional Practice Guide, April 2008.



Strategic Hanney Land American Assessment Site Based Proforma for Suitability

Figure 12: Sites removed from the SHLAA as being unsuitable

Site Ref	Site Name
9	'Nova Scotia' North Lane Huntington
10	Foss Bank Farm
26	Land between Intake Lane and Eastfield Lane, Dunnington
28	The Retreat, Heslington Road
30	Land off Avon Drive, Huntington
43	Land North of Ring Road Clifton Moor
44	Salisbury Lane, Bowling Green
52a	Wheatlands, Northfield Lane
52b	Northminster Business Park
73	Land Adjacent to Grimston Bar and A1079
76	Land at Intake Lane
77	Land behind Fifth Avenue backing onto cycle track
85	To the Rear of Blue Coat
96	Land to the East of A19 Escrick
98	Pool Bridge Farm
99	Murton Way, Osbaldwick
113	Burton Green
132	Site E, Airfield Industrial Estate, Halifax Way
134	Elvington Airfield
144a	Land at Sandy lane, Stockton-on-the-forest
144b	North Carlton Farm, Stockton-on-the-forest
154	Acres Farm, Naburn
158	Land to west of Elvington Airfield Business Park
166	Elvington Park
168	Elvington Airfield Inset
169	Willow Court Farm, Holtby
181	Land North of Grimston Bar, Osbaldwick
184a	The Paddock, Acomb Grange
184b	Land West of Chapelfields
185	Bramham Road Flats Block 1 and 2
187	Bellhouse Way, Foxwood
198	Land north of Sledmere Crossing, Dunnington
218	Land to RO 33 -20 Pasture Close
220	Land off Westpitt Lane, Strensall
226	Keeble Park North, Bishopthorpe
249a	Land adjacent Field lane
249b	Land adjacent field Lane
250	Land at Hollyrood Drive
251	Land at Kingthorpe
252	Land at Osprey Close
253	Land between Alness Drive / Acomb Wood Drive
254	Land off Acomb Wood Drive
255	Land at Leven Road



Strategic Handle Land Assessment Site Based Proforma for Suitability

Page 71

261	Playing field adjacent to Rawcliffe Library
262	Tennis courts, Water Lane
263	Car Park adj. Homestead Park (off Shipton Road)
265	Land adjacent to Water End, Clifton
266	Land off landale road
268	Land RO Lavender Grove/ adj Ouseacre allotments
	·
271	Land at white rose Grove
273	Land off Alder Way
274	Land off Jockey lane

Stage 7b – Assessing Availability for Housing

- 9.67 According to the Guidance, a site is considered available if there is confidence that there are no legal or ownership problems. In assessing the availability of sites for housing, the Council has assessed land ownership constraints that may be associated with bringing sites forward. This has included identifying whether there are any obvious legal or ownership problems, including ransom strips or multiple ownership issues. It should be noted that the Council has not identified the ownership of every site within the SHLAA as this was considered too costly an exercise to do and information could quickly become outdated.
- 9.68 Instead the findings of the SHLAA are based on information held within various datasets and local knowledge, where available (e.g. through Uniform from planning applications or through evidence submitted through the Call for Sites exercise and the subsequent SHLAA consultation). The consultation exercise on the draft findings will present a further opportunity for developers and landowners to provide information on whether the site is available for development.
- 9.69 One of the main reasons for assessing the availability of sites is to identify whether sites are likely to come forward within a five year period (deliverability criteria) or that they may become available in the longer term (i.e. after five years).
- 9.70 Figure 13 shows an example of the availability part of the proforma. In this case ownership details for the site are known and have been established through the Call for Sites process and subsequent consultation with the landowner/agent. The site is in single private ownership and has been put forward as being available within 5 years by the landowner. However, as the site is located within the draft greenbelt it has been assessed as being available in the longer-term and would only come forward in line with the emerging Core Strategy and following a detailed evaluation of the green belt value.



Strategic Handler Land Lability Assessment Site Based Proforma for Suitability

Figure 13: Example of Availability Assessment

Criteria			Consi	derations		
Ownership	• This site is in private single ownership					
Planning Status		•	The site is a state and planning permission			Amber
Timescales		•	This site would be available in the long-term subject to the core strategy and a detailed greenbelt evaluation.			
available for develor the draft greenbelt following a detailed Recommendation:	opment but and would d evaluation	there are therefore n of the gr	rust and was submitt no outstanding plan only come forward i eenbelt value. subject to a detailed r	ning permissions. Tl n line with the emerg	nis site is loca ging core strat	ted within
When is this site likely to come forward?	0 to 5 years (2008 – 2012)	6 to 10 years (2013– 2017)	11 to 15 years (2018 – 2022)	Over 15 years (2023 or later)	With Draft Greenbelt Boundary	Unknown



Strategic Housing Land Assessment Site Based Proforma for Suitability

Stage 7c - Assessing Achievability for Housing

- 9.71 Paragraph 40 of the Guidance states that 'a site is considered achievable for development where there is a reasonable prospect that housing will be developed on the site at a particular point in time.' This is a judgement about economic viability and the capacity of a developer to complete and sell the housing over a certain period.
- 9.72 According to the guidance, the achievability of each site will depend on a combination of market factors, cost factors and delivery factors.
- 9.73 Valuing land can be an involved process and the more complex the challenges of developing a particular site the more complex the process of valuing it becomes. Land has value because of the use to which it can be put. Residential land is more valuable than farmland, which in turn is more valuable than contaminated land with no planning permission. It follows that land values are a residual; the value left after the cost of putting land to a particular use such as building homes. To assess the value of a piece of land it is therefore necessary to estimate the value of the homes that can be built on the site and the cost of building them.
- 9.74 The expected value of the homes that can be built on a given site, the gross development value (GDV) will be influenced by the precise planning permission, which has been granted. The overall density and the balance between houses and flats, starter and family properties will all influence the GDV of a development. Also crucially important ate the economic conditions. When property prices are high GDVs will be boosted. In a downturn, like the current economic conditions, the position is reversed; falling property prices put downward pressure on GDVs.
- 9.75 The cost of a development can vary dramatically. The costs of construction can be increased by the need to demolish existing buildings or necessary land remediation. There may also be unusual costs (sometimes called abnormal costs), such as moving power lines. Having deducting these costs a homebuilder will then need to set a target profit margin and deduct this from the GDV as well. The required margin is typically between 20-25% on speculative residential development²⁵. The residual is the value of the land, the maximum amount a homebuilder will be prepared to pay for the site. Figure 14 gives an illustrated example of a standard residual valuation method.

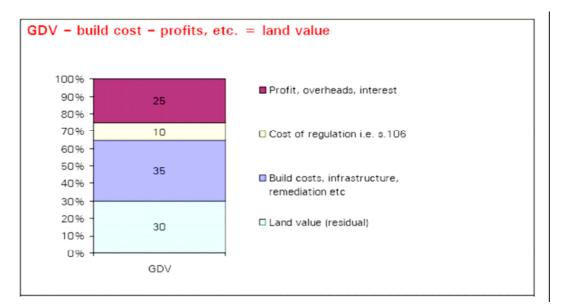
²⁵ Homebuilding Market Study, Annex O – Calculation of Residual Land Values, Office of Fair Trading, September 2008.

46



Strategic Handle Land Assessment Site Based Proforma for Suitability

Figure 14: Illustrative example of residual land valuation method (Office of Fair Trading, September 2008.



- 9.76 For the purposes of the SHLAA an indicative financial viability assessment was undertaken to appraise achievability on suitable sites. The financial viability assessment was conducted on those sites without planning permission. Where sites had planning permission for dwellings it has been assumed that the proposed development would be economically viable. This is on the basis that the applicant would have had to pay the planning fees and invest in the preparation of planning submissions and would only do this where the scheme would be built out economically or present an attractive proposition to the market where a site would be sold after attaining planning permission.
- 9.77 It is important to note that the financial appraisal is indicative and obviously subject to market fluctuations but the purpose of the assessment is to demonstrate that it is reasonable to assume that an economically viable scheme could be undertaken on the site. It was assessed that giving a simple YES/NO answer to cover achievability (i.e. is a site achievable: YES/NO) as the method of deriving the answer will not be open to scrutiny. It was decided that the financial appraisal would conclude that a scheme was Negative or Viable in terms of the site's economic viability.
- 9.78 To begin the financial appraisal it is necessary to calculate the indicative revenue value of the scheme:

Private Scheme Value

9.79 To calculate the indicative private scheme value the number of private dwellings calculated for each site (see section 6 – estimating the housing potential) has been multiplied by the ward average house price for each housing type (flats, townhouses, semi-detached and detached). The house



Strategic Handle Land Land Assessment Site Based Proforma for Suitability

price information has been extracted from the Hometrack database to which the Council have subscribed. Hometrack provide high quality, up to date data for use by local authorities in the development and evaluation of housing and planning policies and strategies. The data offers house prices by size and type of home, open market rental data and housing costs across all forms of tenure and the importance of the data has been recognised in the Strategic Housing Market Assessment Practice Guidance published by CLG. The published Hometrack house price index is based on a monthly survey of estate agents across all postcode districts in England and Wales. The average price data is based on the achievable selling price for each of four standard property types in every postcode district.

- 9.80 The Hometrack data used is for November 2008. As the council now subscribe to the database it will be possible to regularly update the revenue costs to reflect fluctuations in housing costs. The Hometrack data for each ward is included in Table 1, Appendix 7.
- 9.81 Figure 15 shows an example of the private scheme value calculation for a site within Clifton Ward.

Figure 15: Private Scheme Value Calculation example

Revenue					
	Flats	Townhouses	Semi-detached	Detached	
Hometrack house	£177,900	£173,800	£159,400	£431,700	
price for Clifton					
Estimated number of	70	162	0	0	
private dwellings					
Private Housing	£12,453,000	£28,155,600	0	0	
Scheme Value					
(average house price x					
estimated number of					
dwellings)	0.40,000,000				
Total Private	£40,608,600				
scheme value					

Affordable Scheme Value

- 9.82 To calculate the indicative affordable scheme value advice has been taken from the City of York Council Housing Strategy team. In order to keep the appraisal as simple as possible we have taken the average purchase price for affordable housing in Section 106 agreements for a 2 bed flat and for a 3 bed house as these are the most common house types built.
- 9.83 For each of the house types average flat and average house we have used the average purchase price for a social rented flat (£50,000) and a



Strategic Handle Land Land Assessment Site Based Proforma for Suitability

discount sale flat (£65,000) and for a social rented house (£63,000) and a discount sale house (£90,000). Of the 50% affordable housing the council aims for a 60:40 split between social rent and discount sale respectively.

9.84 Figure 15 shows an example of the affordable scheme calculation for a site within Clifton Ward.

Average house price	Flats	House
for affordable homes		
	Social rented: £50k	Social rented £63k
	Discounted Sale £65k	Discount sale £90k
Ratio of social rented	to discount sale 60:40	
Estimated no. of	70	162
affordable dwellings		
Affordable Scheme	£3,920,000	£11,961,000
Value		
Total affordable	£15,881	1,000
scheme Value		

9.85 The total scheme value is then calculated from combining the private and affordable scheme value.

The next part of the financial appraisal calculates the indicative build costs for each scheme.

Build Costs

Average Building Cost per dwelling

- 9.86 The average build cost per dwelling has been calculated using data from BCIS; the RIC's Building cost Information Service who are the leading provider of cost information to the construction industry. The Council's Property Services team have access to BCIS and we have liaised with the quantity surveying team who have been able to extract the relevant information from the Buildings Costs Calculator. The average basic building cost per dwelling has been extracted for the Yorkshire and Humber Region for each of the house types. The data is for the 3rd quarter of 2008. The basic build cost per dwelling for the Yorkshire and Humber region are:
 - \rightarrow Flat = £32.720
 - > Townhouse = £43.494
 - Semi-detached = £54,252
 - > Detached = £63,300
- 9.87 By multiplying the total number of dwellings by the building cost per dwelling we are able to calculate a total basic build cost for the scheme.



Strategic Handle Land Assessment Site Based Proforma for Suitability

Site works costs per dwelling

9.88 In addition to the basic build cost per dwelling we have extracted from BCIS an average site works cost per dwelling to include for connection to electricity, gas, foul and surface drainage and surface finishing. This has again been through liaison and advice from Property Services.

The site works cost per dwelling used in the appraisal are:

- ➤ Flat = £5,500 per dwelling
- > Townhouse = £9,000 per dwelling
- Semi-detached = £9,000 per dwelling
- Detached = £10,500 per dwelling
- 9.89 By multiplying the number of dwellings by the site work cost per dwelling we are able to calculate the total site works cost for the scheme.
- 9.90 The total basic building cost for the scheme and the total site works cost for the scheme have then been combined to give a total build cost for the scheme.

Other Costs / Profits

Land Value

- 9.91 To make the financial appraisal as simplistic as possible rather than leaving a residual amount after subtracting all other costs, which would be the assumed land value, we have deducted 40% of the revenue value of the scheme as being an indicative land cost. This has been based on liaison with the Council's Property Services Team and is a recognised residual value of around 30-40%.
- 9.92 Land values are obviously variable and more easily varied than any of the other elements such as build costs and profit. Land values rise and fall over time. From 1996 to 2006 land prices rose about 330%²⁶ while the cost of construction rose by about 50%²⁷ and house prices rose about 180%²⁸. Since the start of 2007 however, land values have fallen significantly. The SHLAA will be reviewed annually through the Annual Monitoring Report Process so the financial appraisal will be updated to reflect changes where necessary.

²⁶ For residential land with planning permission in England. Source CLG live table 563.

²⁷ Figure for Great Britain. Source 5.2, construction statistics annual 2007.

²⁸ Figure for Great Britain. Source: CLG live table 593.



Strategic Handle Land Assessment Site Based Proforma for Suitability

Professional Fees

9.93 For the purposes of the SHLAA financial appraisal we have used an indicative figure of 10% of the total build costs (basic build cost + site works). This is to reflect costs for fees such as planning application fees, building control, consultant's costs etc. The figure of 10% is an average and costs will obviously vary from scheme to scheme however, it is thought to represent a realistic guide.

Contingency Sum

9.94 An indicative figure of 5% of total build costs has been built in for contingency purposes.

Interest on bank loans

9.95 An indicative figure of 2% of total build costs has been built in for interest on loans.

Profit Margin

9.96 Having deducted other costs such as construction costs and any abnormal costs the homebuilder will set itself a target margin and deduct this from the gross development value as well. The typical margin is around 20-25%. For the purposes of the SHLAA a developer profit of 17.5% of total costs has been built in. This figure is based on liaison with CYC officers and is though to be a realistic figure for York.

All these 'other costs' have been combined to give a total 'other costs' figure.

Overall Viability Assessment

9.97 The overall financial appraisal is then calculated using the total scheme value and the total costs (build costs and 'other costs'). Comments have been added to the proforma where appropriate regarding likely abnormal development costs such as remediation works using the information from the site suitability proforma. An overall assessment is then made as to whether the site is viable or unviable based on the results of the financial appraisal. It is important to note that the financial appraisal is based on an indicative scheme and is only used as a guide to a sites likely achievability. Much more detailed site by site analysis will be required if a site progresses through the Allocations stage.



Strategic Harman Land Assessment Site Based Proforma for Suitability

STAGE 8: ASSESSMENT RESULTS

Overall Capacity

- 10.1 Out of a total of 226 sites assessed initially, 49 were removed due to the assessment deeming them unsuitable due to failing one of more of the primary constraints (see Appendix 5).
- 10.2 A further 52 sites were removed due to the assessment deeming them unsuitable (see Appendix 6).
- 10.3 27 sites were placed in the unknown/unavailable category and have not been included within the potential future housing supply as there is insufficient information available to be able make a judgement about when and whether these sites may come forward for development. Completed proformas for these sites can be found in Appendix 12.
- 10.4 A further 42 sites have been placed in the 'Draft Green Belt' category. These sites have been assessed as part of the SHLAA as they have been submitted as part of the 'Call for Sites' exercise but they are located within the draft Green Belt and would therefore only come forward in line with the emerging Core Strategy and following a detailed evaluation of the Green Belt value. Completed proformas for these sites can be found in Appendix 13.
- 10.5 56 sites were considered deliverable and/or developable and placed within estimated five-year blocks of delivery. These 56 sites could theoretically provide 6,866 dwellings. Completed proformas for these sites can be found in Appendices 8 to 11.

Figure 16: Overall Non-committed Supply (including allocations without permission and identified SHLAA sites)

Period (Financial Years)	Number of dwellings	Number of Sites (double counting sites)
0-5 (2008/9 - 2013/14)	1,754	22
6-10 (2014/15 – 2018/19)	2,955	26
11-15 (2019/20 – 2023/24)	1,709	5
15+	448	3
Total Non-Committed Supply	6,866	56





Strategic Housing Land, ability Assessment Site Based Proforma for Suitability

10.6 The housing potential of the 56 deliverable/developable sites has been calculated and the estimated number of dwellings has been distributed across individual years to predict when dwellings would be delivered. In some cases a site appears in more than one five year period due to the phasing of dwelling completions. To avoid double counting it is necessary to identify those sites that fall into more than one 5yr period. Figure 17 identifies the 9 sites where a site is included in multiple 5yr periods due to phasing the construction of dwellings on the site.

Figure 17: Sites appearing in multiple 5-year periods

Site No	Name	Suitable	Timeframe	No. Dwellings
22	Grain Stores	Suitable	0-10years	197
106	Derwenthorpe*	Suitable	0-10years	540
115	Hungate*	Suitable	0-10years	720
276	Nestle South	Suitable	0-10Years	464
119	Germany Beck*	Suitable	0-15Years	700
13	British Sugar	Suitable	0-15years	1250
20	York Central	Suitable	0-15years+	1780
140	Terry's Factory	Suitable	6-15 years	412
15	Site to NE Nestle	Suitable	6-15 years	514

^{*}These sites already have planning permission so are counted within the commitments (figure 18). They do therefore not appear in the SHLAA supply tables (figures 19-21)

Sites within the Planning Process

10.7 The 2008 Annual Monitoring Report (AMR) provides the following figures:

Figure 18: Sites already identified within the planning process

	New Developments on PDL (excluding Conversions & COU)	Sites (Excluding	Conversions From Existing Dwellings	COU to Dwelling	Total on All Sites
Total Number of Net Dwellings with Outstanding Planning Permission at 31st March 2008	2845	1287	21	278	4431



Strategic Handle Land Assessment Site Based Proforma for Suitability

Sites with Planning Permission

- 10.6 Allocated sites with planning permission currently provide a remaining capacity of 2972 dwellings. Of these 1732 are on brownfield sites (58%) and 1,240 are on greenfield sites (42%). Sites that are unallocated but have extant planning consents provide a total remaining capacity of 1459 dwellings. Of these 1,396 are on brownfield sites (96%) and 63 on greenfield sites (4%).
- 10.7 These above figures reflect the consented capacities of sites. A discount of 5% has been applied to sites with planning permission (including allocations with permission). This is based on an analysis of non-implementation rates of past permissions to 2007. Future analysis of building rates will need to be carried out (through the AMR) to monitor any fluctuations that may be experienced and should changes occur the discount rate will be revised in future SHLAA Reviews.

Sites with deliverability or developable potential

- 10.8 Total number of dwellings without formal planning status but with deliverability or developable potential is 6,866 dwellings over 56 sites. These are sites that are deliverable or developable within the 5-year time frames assessed 0-5 years, 6-10 years, 11-15 years and 15+ years. The suitability, availability and achievability of these sites have been assessed through the SHLAA process. For sites identified within the 0-5 years timeframe the process of site identification is compliant with paragraph 54 of PPS3 (stating there should be a reasonable prospect that housing will be delivered on the site within 5 years) as it identifies those sites where planning permissions are pending, usually signalling on-going negotiations between development control and the applicant, or those sites owner or controlled by developers or corporate bodies e.g. housing trusts or council who have signalled an intention to progress sites.
- 10.9 The following series of tables break each five-year block period into component parts to illustrate how the different categories of site contribute to the total dwelling availability. The individual site profomas for each site in the 0-5, 6-10, 11-15 and 15+ year category can be found in Appendix 8 to 11 respectively.



Strategic Harman Land Labellity Assessment Site Based Proforma for Suitability

Figure 19: 0-5 Year Period

Site No	Site Name	No. Dwellings
	ALLOCATIONS (WITHOUT PERMISSION)	
3	15-a-c Haxby Road	23
125	Reynard's Garage	12
129	10-18 Hull Road	48
	TOTAL ALLOCATIONS WITHOUT PERMISSION	83
	SITES WITH DELIVERABILITY POTENTIAL (SHLAA SITES)	
15	Former Bio-Rad Premises, Haxby Rd	136
54	Land at Frederick House, Fulford	36
70	Park Avenue, New Earswick	2
91	Land at Cherry Lane	42
108	Heworth Family Centre	16
110	Land at Marygate	37
111	Askham Bar Park and Ride Car Park	60
150	Manor School	141
151	Lowfield School	183
194	Discus Bungalows	12
195	Discus Bungalows	58
196	Discus Bungalows	85
195	Former Citroen Garage, Lawrence Street	37
223	The Tannery, Sheriff Hutton Road, Strensall	53
231	Shipton Street Primary School	23
22	The Grainstores, Water Lane (0-5 year phase)	98
276	Nestle South (0-5 year phase)	232
13	British Sugar (0-5 year phase)	210
20	York Central (0-5 year phase)	210
	TOTAL SHLAA SITES	1671
	TOTAL ALL SITES	1754

YORK

Page 83

Strategic Handle Land Lability Assessment Site Based Proforma for Suitability

Figure 20: 6-10 Year Period

Site No	Site Name	No. Dwellings
	ALLOCATIONS (WITHOUT PERMISSION)	
116	Castle Piccadilly	20
117	Area North of Trinity Lane	31
121	Burnholme WMC, Burnholme Drive	20
124	Monk Bar Garage	8
	TOTAL ALLOCATIONS WITHOUT PERMISSION	79
	SITES WITH DELIVERABILITY POTENTIAL (SHLAA SITES)	
22	The Grain Stores, Water Lane (6-10 year phase)	99
276	Nestle South (6-10 year phase)	232
13	British Sugar (6-10 year phase)	635
20	York Central (6-10 year phase)	635
15	Site to the North East of Nestle (6-10 year phase)	257
62	The Grange, Huntington	97
89	Land at Mill Mount	33
93	Rear of 62 Mill Lane, Wigginton	10
101	Land at Blairgowerie House, Main Street	37
112	Monks Cross Stadium, Kathryn Avenue	150
135	Council Depot, Beckfield Lane, Acomb	18
140	Terry's Factory (6-10 year phase)	206
148	Park off Balfour Street, Leeman Road area.	19
152	St Barnabas CE Primary School, Bright Street	5
156	1 - 9 St Leonard's Place	32
159	Land west of Haxby Road	96
197	North Lane	25
219	22 Princess Road	12
225	Land adj The Bracks / Green Lane	120
231	Land at Bootham Crescent	79
278	Site off Water lane, Clifton	18
309	Yearsley Bridge Centre	61
	TOTAL SHLAA SITES	2,876
	TOTAL ALL SITES	2,955

Figure 21: 11-15 Year Period

Site No	Name	No. Dwellings
	SITES WITH DELIVERABILITY POTENTIAL (SHLAA SITES)	
15	Site to NE of Nestle (11-15 year phase)	257
140	Terry's Factory (11-15 year phase)	206
13	British Sugar (11-15 year phase)	405
20	York Central (11-15 year phase	800
29	Millfield Industrial Estate, Wheldrake	41
	TOTAL ALL SITES	1,709



Strategic Handler Land Assessment Site Based Proforma for Suitability

Figure 22: 15+ Year Period

Site No	Name	No. Dwellings
	ALLOCATIONS (WITHOUT PERMISSION)	
118	Peel St/ Margaret St	30
	TOTAL ALLOCATIONS (WITHOUT PERMISSION)	30
	SITES WITH DELIVERABILITY POTENTIAL (SHLAA SITES)	
20	York Central (15 year+ phase)	135
18	Heslington Village and Common Lane	283
	TOTAL SITES WITH DELIVERABILITY POTENTIAL	418
	TOTAL ALL SITES	448

Unknown Sites

- 10.10 27 sites have been placed into the 'unknown' category. These sites have been assessed as potentially suitable for housing but through the availability assessment there has been insufficient information to make a judgment as to when or whether the site is likely to come forward for development. These sites have not been included within the housing supply as there is not enough information at this point in time to make an assessment of their deliverability.
- 10.11 If, following this consultation of the Draft SHLAA Phase 2 Report, further information is submitted by landowners or developers to support the availability of sites then the sites position within the supply years will be reconsidered. Completed site proformas for these sites can be found in appendix 12.

Figure 23: Sites in the 'Unknown' category

Site No	Name	Availability category	Greenfield / Brownfield / Mixed	No. Dwellings
11	Hudson House and Old Station Buildings, Toft Green	Unknown	BF	136
39	York Business Park Site 6a	Unknown	BF	52
55	Holgate Park Carriage Works	Unknown	BF	426
55	Holgate Park	Unknown	BF	161
123	MOD Land Fulford	unknown	GF	78
137	York Business Park, Nether Poppleton	Unknown	Mix	177
138	Annamine Nurseries	Unknown	GF	39
157	Parkside Commercial Centre, Terry Avenue	Unknown	BF	26
164	Land at York RI Rugby Ground	Unknown	GF	277



Strategic Handle Land Land Assessment Site Based Proforma for Suitability

York Business Park, Nether Poppleton	Unknown	Mix	198
Car park, High Newbiggin Street	Unknown	BF	28
Land adj. 26 & 38 Church Lane	Unknown	GF	13
Land adj. 131 Long Ridge Lane	Unknown	GF	6
Land R/O surgery & 2a/2b Petercroft	Unknown	BF	7
Lane			
Builders Yard, Church Lane	Unknown	BF	10
Carpark off Bishopthorpe Road	Unknown	BF	12
Land at Brear Close	Unknown	GF	19
Adj Racing stables, Tadcaster Rd	Unknown	BF	30
Land RO 190-178 Hull Road	Unknown	GF	20
Land Ro Electricity Sub Station, Haxby	Unknown	BF	49
Rd			
Car parks at Nuffield Hospital	Unknown	BF	30
Land at end of Pinsent Court (off	Unknown	GF	21
Redgrove Close)			
Marygate Car Park, access from	Unknown	BF	60
Hetherton's Street			
Elm Tree Garage Car Park	Unknown	BF	16
Land on Tribune Way, off Clifton Moor	Unknown	GF	15
Gate			
Land around Burdike, between Sutton	Unknown	GF	22
South of Murton Industrial Estate	Unknown	GF	10
Total			1,938
	Land adj. 26 & 38 Church Lane Land adj. 131 Long Ridge Lane Land R/O surgery & 2a/2b Petercroft Lane Builders Yard, Church Lane Carpark off Bishopthorpe Road Land at Brear Close Adj Racing stables, Tadcaster Rd Land RO 190-178 Hull Road Land Ro Electricity Sub Station, Haxby Rd Car parks at Nuffield Hospital Land at end of Pinsent Court (off Redgrove Close) Marygate Car Park, access from Hetherton's Street Elm Tree Garage Car Park Land on Tribune Way, off Clifton Moor Gate Land around Burdike, between Sutton Way & Lilbourne Drive South of Murton Industrial Estate	Car park, High Newbiggin Street Land adj. 26 & 38 Church Lane Land adj. 131 Long Ridge Lane Unknown Land R/O surgery & 2a/2b Petercroft Lane Builders Yard, Church Lane Carpark off Bishopthorpe Road Land at Brear Close Adj Racing stables, Tadcaster Rd Land RO 190-178 Hull Road Unknown Land Ro Electricity Sub Station, Haxby Rd Car parks at Nuffield Hospital Land at end of Pinsent Court (off Redgrove Close) Marygate Car Park, access from Hetherton's Street Elm Tree Garage Car Park Land around Burdike, between Sutton Way & Lilbourne Drive South of Murton Industrial Estate Unknown	Car park, High Newbiggin Street Land adj. 26 & 38 Church Lane Unknown GF Land adj. 131 Long Ridge Lane Unknown GF Land R/O surgery & 2a/2b Petercroft Lane Builders Yard, Church Lane Unknown BF Carpark off Bishopthorpe Road Unknown GF Adj Racing stables, Tadcaster Rd Unknown GF Land RO 190-178 Hull Road Unknown GF Car parks at Nuffield Hospital Unknown BF Land at end of Pinsent Court (off Redgrove Close) Marygate Car Park, access from Hetherton's Street Elm Tree Garage Car Park Land around Burdike, between Sutton Way & Lilbourne Drive South of Murton Industrial Estate Unknown GF

Sites within the Draft Greenbelt

- 10.12 42 sites have been placed into the 'sites within the draft green belt category'. These sites have been assessed as part of the SHLAA as they have been submitted as part of the Call for Sites Exercise but they are located within the Draft Green Belt and would therefore only come forward in line with the emerging Core Strategy and following a detailed evaluation of the Green Belt value. It is the role of the LDF Core Strategy to determine the approach to York's Green Belt including both its role and lifespan. The LDF will create a permanent green belt for York that preserves its special character and setting, whilst ensuring sustainable development; and that the green belt boundaries once set will endure until at least 2030.
- 10.13 As the Core Strategy is still emerging the Green Belt Boundaries for York are as yet not set. For the purposes of the SHLAA sites that fall within the areas of land identified as being important in preserving the City's historic Character and Setting, as identified in the 'Approach to The Green Belt Appraisal' (2003), have been excluded from further assessment as detailed in Criterion 1.
- 10.14 All sites falling within the other areas of the Draft York Greenbelt that are potentially suitable for housing have been grouped together in terms of their availability. The sites may need to be assessed further for their



Strategic Handle Land And Lability Assessment Site Based Proforma for Suitability

suitability as part of the Allocations DPD where detailed green belt boundaries will be set. Full site proformas can be found in appendix 13.

Figure 24: Sites in the draft Green Belt Category

Site ID	Site Name	Availability Category	Source of Site	No. Dwellings
1	Adjacent Middlewood Close	Draft GB	Call for Sites	24
7	Land at Cranbrooks, North of North Lane, Wheldrake	Draft GB	Call for Sites/Alternative Site at Changes 3	72
12	Fields 6854 & 7047, Wheldrake	Draft GB	Call for Sites	327
14	The Forge Crockey Hill	Draft GB	Call for Sites	13
16	Land South of Moor Lane Woodthorpe	Draft GB	Call for Sites/Alternative Site at Changes 3	550
	Pond Fields	Draft GB	Call for Sites/Alternative Site at Changes 3	188
	Thornfield Lane and Land to the East of New Lane	Draft GB	Call for Sites/Alternative Site at Changes 3	771
	Land to the North of Monks Cross	Draft GB	Call for Sites	301
	Land to the North of Monks Cross	Draft GB	Call for Sites/Alternative Site at Changes 3	888
	Land to the North of Monks Cross	Draft GB	Call for Sites/Alternative Site at Changes 3	2207
26	Eastfield Lane, Dunnington	Draft GB	Call for Sites	56
27	Between Scarborough Railway and Southlands Road	Draft GB	Call for Sites/Alternative Site at Changes 3	137
29	Land to the East of Millfield Industrial Estate	Draft GB	Call for Sites	70
31a	Ten Thorne Lane	Draft GB	Call for Sites/Alternative Site at Changes 3	26
31b	Land to the West of Knapton	Draft GB	Call for Sites	617
31c	North of Knapton	Draft GB	Call for Sites	1938
36	North of Church Lane and Spring Hill Farm	Draft GB	Call for Sites/Alternative Site at Changes 3	72
37a	Land East of New Lane, Huntington	Draft GB	Call for Sites/Alternative Site at Changes 3	38
37b	Land Between New Lane and Malton Road	Draft GB	Call for Sites/Alternative Site at Changes 3	77
38	Land between Moor Lane and Usher Lane	Draft GB	Call for Sites/Alternative Site at Changes 3	729
45	Land at Church Balk/Eastfield Lane Dunnington	Draft GB	Call for Sites/Alternative Site at Changes 3	63
53	Land to the Rear of Westfield School	Draft GB	Call for Sites	82
60	Usher Park	Draft GB	Call for Sites	52
68	Land at Dauby Lane Elvington	Draft GB	Call for Sites	108
69	Land at Stockton Lane	Draft GB	Call for Sites	58
71	Land off Flaxton Road (to South of the Railway Line)	Draft GB	Call for Sites	137
72	Land at Moor Lane, Copmanthorpe	Draft GB	Call for Sites	116
81	Land between York Road and old DVLR line	Draft GB	Call for Sites	22
82	Rufforth Airfield – South of	Draft GB	Call for Sites	100



Strategic Handle Strategic Handle Site Based Proforma for Suitability

	Southfield Close			
84	South of Moor Lane	Draft GB	Call for Sites	64
88	Land Adjacent to the designer Outlet	Draft GB	Call for Sites	685
102	Land at Graystones, Lords Moor Lane	Draft GB	Call for Sites	91
104	Land at the Wilberforce Home, Tadcaster Road	Draft GB	Call for Sites	75
106	Land to the East of Metcalf Lane	Draft GB	Call for Sites/Alternative Site at Changes 3	527
109	Land at Norway Drive	Draft GB	Call for Sites	36
162	Church Lane, Elvington	Draft GB	Alternative Sites at Changes 3	22
165	Land at the Mews, Strensall	Draft GB	Alternative Sites at Changes 3	24
170	Land at Pansy Field, West of Station Road, Upper Poppleton	Draft GB	Alternative Sites at Changes 3	70
280	Wheldrake Industrial Estate	Draft GB	Employment Land Review	39
	Total		11,472	



Strategic Handle Land Assessment Site Based Proforma for Suitability

REVIEW OF THE ASSESSMENT

- 11.1 This section of the report looks at the implications of the SHLAA findings against the current housing requirements set out in the Regional Spatial Strategy (RSS) for Yorkshire and the Humber. This involves an analysis of completion tends in previous years.
- 11.2 The current RSS housing requirement for York is 640 dwellings per annum from the period 1st April 2004 31st March 2008 and from 1st April 2008 to 31st March 2026, 850 new homes should be built each year.
- 11.3 Beyond the end date of the current RSS (2026), an annual rate of 850 homes per year has been projected forward until the end date of the plan (2030). This is to ensure that it can be guaranteed the City can meets its housing need without encroaching on proposed Green Belt Land.
- 11.4 The base date for the requirement starts from 1st April 2004 and runs to the 31st March 2030. The overall requirement for York from 1st April 2004 to 31st March 2030 is 21,260 dwellings over a period of 26 years.

Recent Completions

11.5 It is important to look at the performance of housing completions from 2004 to the present date (four year period).

Figure 25: Net completions in York 2004-2008

Year	Completions	New Build	Net Conversions	Net Change of Use	Demoliti ons	Net Dwelling Gain
2004-2005	1193	993	27	153	13	1160
2005-2006	949	784	11	128	17	906
2006-2007	875	734	18	92	46	798
2007-2008	557	442	19	68	6	523
2004-2008	3574	2953	75	441	82	3387

11,6 Figure 25 shows that since 2004 there have been 3387 net completions in York, with an annual average completion rate of 847 dwellings. Set against the RSS target of 640 dwellings per annum (2,560) it is clear that York has exceeded the proposed requirement in previous years.

Supply and Requirements

11.7 It is important to assess the housing requirements against the supply that has been identified either as previous completions, current commitments or as potential sites coming forward, in order to assess whether sufficient



Strategic Handle Land Lability Assessment Site Based Proforma for Suitability

supply can be broadly identified to meet the longer term housing requirements.

Figure 26: Housing Supply

Source of Supply	Number of Units
Net completions (2004-2008)	3,387
No. net dwellings with outstanding planning permission (incl. Allocations with permission) (discounted at 5%)	4,431
Potential Housing Sites in SHLAA (excluding unknown or draft green belt sites)	6,866
Total Identified Supply	14,684

11.8 Figure 26 illustrates that there is the potential to accommodate an additional 14,684 units between the period of 2004 to 2030 (of which 3,387 have already been provided). This compares with the RSS requirement over this period of 21,260 units. This means that there is a shortfall of 6,576 units to 2030.

Housing Trajectory

- 11.9 The SHLAA database also provides a more detailed assessment of the amount of housing that each site is expected to deliver each year, as set out in the housing trajectory (figure 27) and the cumulative supply graph (figure 28).
- 11.10 The SHLAA database also provides a more detailed assessment of the amount of housing that each site is expected to deliver each year, as set out in Table 6, the housing trajectory. The housing trajectory (Figure 27) is made up of the potential housing supply including net housing completions, existing planning consents on non-allocated sites, existing planning consents on allocated sites, SHLAA sites (including existing housing allocations without permission) and SHLAA sites with a Development Brief or emerging Area Action Plan. Table 7 shows the cumulative supply set against the RSS target. The cumulative supply graph (Figure 28) indicates that there is enough potential supply to meet the RSS housing target until the year 2021/22. In the year 2021/22 there is a potential undersupply of 484 dwellings against the RSS target of 850 dwellings per year.
- 11.11 Sites that are currently under construction or have an extant planning permission are much easier to make yearly completion assumptions on compared to sites that may become available towards the end of the plan period. The housing trajectory provides a good illustration of the expected annual completion rates over the plan period.



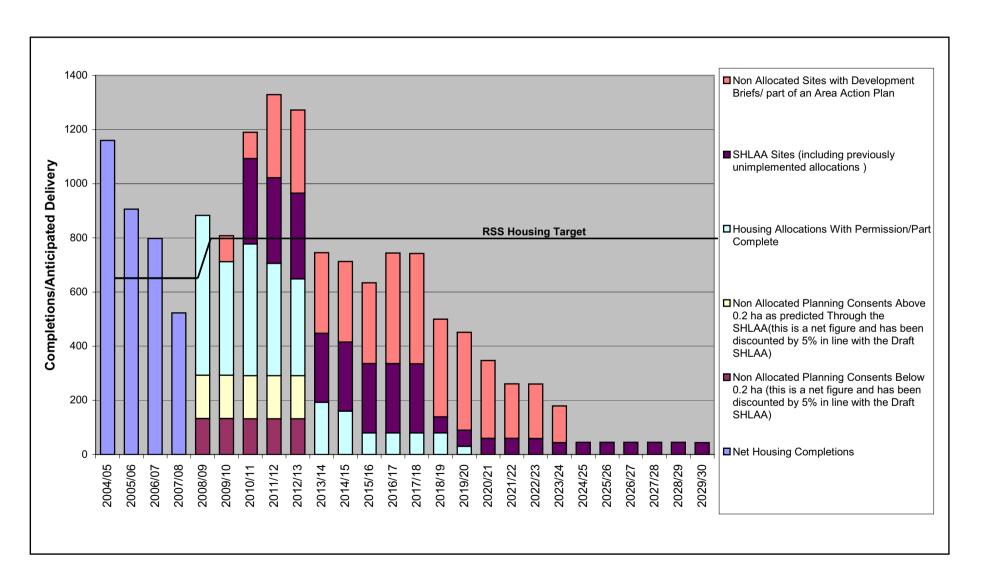
Strategic Housing Land, ability Assessment Site Based Proforma for Suitability

11.12 It is a key role of the LDF to ensure that sufficient land will be available to meet this need whilst also building in flexibility for any subsequent reviews of RSS or any successor plan and lower than expected rates of delivery on identified sites. The selection of future sites or areas for potential future development must conform to the Spatial Strategy which will be outlined in the emerging Core Strategy.



Strategic Housing Land Availability Assessment Site Based Proforma for Suitability

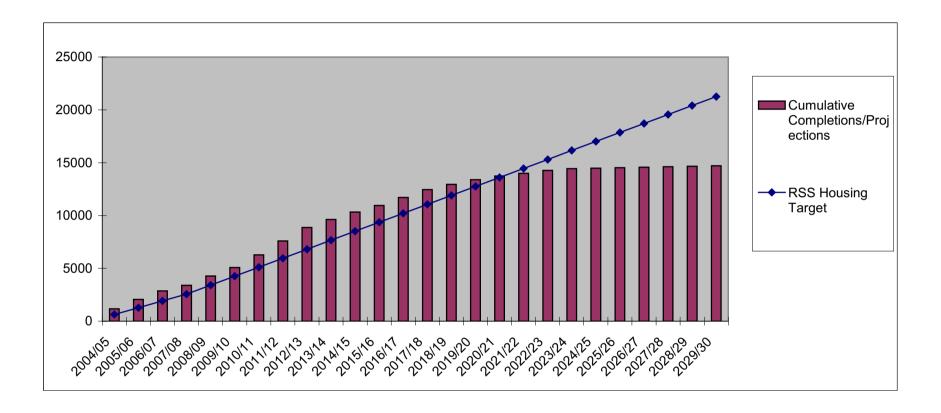
Figure 27: SHLAA Housing Trajectory





Strategic Housing Land Availability Assessment Site Based Proforma for Suitability

Figure 28: RSS Housing target compared to completions / projections





Strategic Harman Land Assessment Site Based Proforma for Suitability

STAGES 9 & 10: Assessing the housing potential of broad locations and determining the housing potential of windfalls

- 12.1 The footnote on page 19 of PPS3²⁹ defines windfalls as "those which have not been specifically identified as available in the plan process. They comprise previously developed sites that have unexpectedly become available...for example, large sites resulting from, for example, a factory closure or small sites such as a residential conversion or a new flat over a shop."
- 12.2 Windfalls are a particular issue in Yorkshire & the Humber, with significant components of recent housing supply in West and South Yorkshire urban areas as well as key towns such as Harrogate and York coming forward as windfalls. For example, in 2006/2007, 81% of housing development across the region was completed on windfall sites. In York in the same period 58% of net completed sites was on windfall sites. This, in part, has been the result of previous national planning policy and the investor-led market for city centre apartments. Over the last ten years in York an average of 435 windfalls per annum have been completed.
- 12.3 The CLG guidance, PPS3 itself and other policy and advice make it clear that local authorities, in planning for housing land, should not plan for a set level of windfalls, except in the most exceptional of circumstances. A windfall allowance should therefore not be considered as a component of a Strategic Housing Land Availability Assessment. CLG has not categorically defined 'exceptional circumstances', but advice from the Planning Advisory Service considers that this might be thought of as an area which is almost entirely built-up to the district boundaries, within which there is no reasonable prospect of identifying at least a 15 year supply of housing land. Within the region, Hull is the only authority which comes close to this definition.
- 12.4 It is likely that windfalls will continue to come forward at a significant rate in many parts of the region. If this proves to be the case, then delivery of windfall sites will keep 'pushing back' the planned supply of housing land, so that land initially identified in the 10-15 year supply may not be required for housing until a later date. In effect, windfalls will 'drop in' near the front end of the conveyor pushing everything else back. To this effect, local authorities should still seek to understand past trends of windfall sites and the likelihood of future sites coming forward as windfalls to continue to inform the position at a regional level. This information is pertinent to the overall housing supply situation within the region and will continue to inform the regional evidence base and inform future RSS policy revision.
- 12.5 The purpose of the PPS3 approach is to ensure a forward supply of housing land is identified in the absence of windfalls. In delivering the Local

.

²⁹ Planning Policy Statement 3: Housing (CLG, 2006)



Strategic Handle Land Land Assessment Site Based Proforma for Suitability

Development Framework, in particular when developing Core Strategy and Site Allocations DPDs, Local Planning Authorities should use the 'plan, monitor and manage' approach to ensure a phased approach to the release of housing land according to the level of windfalls actually occurring.

- 12.6 In practice, this means that Local Planning Authorities may identify possible housing land in the Strategic Housing Land Availability Assessment and subsequently in site allocations which may not actually be required for housing for many years beyond the period anticipated if housing on windfall sites continues to be delivered in significant numbers in the Region.
- 12.7 Neither PPS3 nor the SHLAA guidance seek to fully answer when we can safely take account of windfalls, because it must depend on the particular circumstances of the individual authority. To be able to take account of windfalls an authority needs to first –
 - > Carry out a thorough and detailed SHLAA study which identifies as many sites as practicable
 - > Review the results to see how much supply has been identified; and
 - ➤ Consider broad locations for proactive policy within settlements, and for urban extensions where there is potential for them very few authorities have such tight boundaries that there are no possible urban extensions to consider, albeit that this may involve possible changes to green belt boundaries or other high level policy constraints.
- 12.8 Only when these stages have been completed can an authority begin to consider whether it can produce robust evidence 'of genuine local circumstances that prevent specific sites being identified'.
- 12.9 In the past York's housing supply has included a high number of windfalls (housing delivered on sites not identified in any development plan). As far as possible those sites with windfall potential have been identified and assessed through the SHLAA process and it has identified most available sites for the short and medium term. However, given the timescale of the LDF (to 2030) there are likely to be sites that fit with the Spatial Strategy that will become available particularly towards the latter end of the plan. Based on previous rates it is estimated that this source could provide around 2,175 homes between 2025/26 and 2030 (based on 435 units per annum).
- 12.10 The emerging Core Strategy will need to plan how any shortfall in sites should best be planned for. Broad locations are areas where housing development is considered feasible and will be encouraged but where specific sites cannot yet be identified.
- 12.11 The advantage of broad locations is that the community will be clearer about where future development will be directed and there will be greater



Strategic Housing Land Assessment Site Based Proforma for Suitability

certainty to developers about where development may be encouraged. It is a proactive approach to planning, which reflects positive choices about the direction of future housing growth, rather than a reactive approach to development opportunities as they arise.

12.12 The SHLAA has already assessed a number of large sites located within and adjoining settlements where housing development could be suitable if sufficient land was provided for other uses such as shops, schools and open space. These sites are mainly located within the existing green belt and as such have not been included in the housing supply at this stage. The sites as detailed in appendix 12 are not considered as available for the purposes of the SHLAA as they would need to come forward if required as part of the emerging Core Strategy and following a detailed review of the green belt value and further detailed site by site analysis of their suitability. The SHLAA gives an indication of their potential suitability which could be used as a starting point for further assessment.

CONCLUSION

- 13.1 This SHLAA Draft Consultation Report provides a snapshot picture of both the committed and potential supply in York up to 2030, with a base date of 1st April 2008. The results of the SHLAA will be used to help inform work on the Local Development Framework, including both the Core Strategy and Allocations DPD.
- 13.2 It has been carried out in accordance with the CLG guidance and Regional Practice Guidance on SHLAA and the Council has sought to engage with appropriate stakeholders at various stages of the process, including consulting on the draft methodology, a 'call for sites' exercise and further consultation with stakeholders regarding the suitability, availability and achievability of sites. This draft consultation report presents a further opportunity for consultation with stakeholders. There will be further opportunities as the SHLAA develops and is reviewed through the Annual Monitoring Report (AMR) process for stakeholders to continue to be involved, for example providing additional information on sites or suggesting new sites as they become available.
- 13.3 The sites that have been identified in the SHLAA database are derived from a number of sources including the Call for Sites Exercise. It is important to note that certain assumptions have been made within the assessment based on the CLG guidance and on officers professional judgement at a certain point in time. The SHLAA should be treated as a 'living' document and the information will be subject to change over short periods of time, as sites move from one time period to another for example.
- 13.4 Consequently, planning applications for residential development will continue to be assessed on their individual planning merits in accordance



Strategic Housing Land Assessment Site Based Proforma for Suitability

with the development plan and other material planning considerations. Information that is contained in the SHLAA may act as a useful indication of opportunities or constraints on a site but applicants will still need to undertake their own detailed research to determine the full potential for residential development opportunities on sites within the SHLAA or indeed those that have not been identified.



Appendix 2: Map of housing market zones



Reproduced from the Ordnance Survey mapping with the permission of Her Majesty's Stationery Office © Crown Copyright.
Unauthorised reproduction infringes Crown Copyright and may lead to prosecution or civil proceedings.

City of York Council. Licence No. 1000 20818

Appendix 2 - Map of Housing Market Zones





Page 98